

This section will contain the final text of the rules proposed by agencies. The order of rulemaking is required to contain a citation to the legal authority upon which the order or rulemaking is based; reference to the date and page or pages where the notice of proposed rulemaking was published in the *Missouri Register*; an explanation of any change between the text of the rule as contained in the notice of proposed rulemaking and the text of the rule as finally adopted, together with the reason for any such change; and the full text of any section or subsection of the rule as adopted which has been changed from that contained in the notice of proposed rulemaking. The effective date of the rule shall be not less than thirty (30) days after the date of publication of the revision to the *Code of State Regulations*.

The agency is also required to make a brief summary of the general nature and extent of comments submitted in support of or opposition to the proposed rule and a concise summary of the testimony presented at the hearing, if any, held in connection with the rulemaking, together with a concise summary of the agency's findings with respect to the merits of any such testimony or comments which are opposed in whole or in part to the proposed rule. The ninety (90)-day period during which an agency shall file its order of rulemaking for publication in the *Missouri Register* begins either: 1) after the hearing on the proposed rulemaking is held; or 2) at the end of the time for submission of comments to the agency. During this period, the agency shall file with the secretary of state the order of rulemaking, either putting the proposed rule into effect, with or without further changes, or withdrawing the proposed rule.

Title 3—DEPARTMENT OF CONSERVATION Division 10—Conservation Commission Chapter 10—Wildlife Code: Commercial Permits: Seasons, Methods, Limits

ORDER OF RULEMAKING

By the authority vested in the Conservation Commission under sections 40 and 45 of Art. IV, Mo. Const., the commission amends a rule as follows:

3 CSR 10-10.725 is amended.

This amendment establishes fishing seasons and limits and is excepted by section 536.021, RSMo from the requirement for filing as a proposed amendment.

The Department of Conservation amended 3 CSR 10-10.725 by establishing seasons and limits for the harvest of shovelnose sturgeon on a portion of the Missouri River.

3 CSR 10-10.725 Commercial Fishing: Seasons, Methods

PURPOSE: This amendment changes the last day of the closed season on the commercial harvest of shovelnose sturgeon on a portion of the Missouri River.

(4) From May 16 through October 31 on the Missouri River downstream from U.S. Highway 169 to Carl R. Noren Access and downstream from Chamois Access to its confluence with the Mississippi River or banks thereof, game fish (including channel, blue and flat-head catfish, paddlefish and shovelnose sturgeon) may not be possessed or transported while fishing by commercial methods or while

possessing commercial fishing gear and shall be returned to the water unharmed immediately after being caught.

SUMMARY OF PUBLIC COMMENT: Seasons and limits are excepted from the requirement of filing as a proposed amendment under section 536.021, RSMo.

This amendment filed June 4, 2004, effective **June 15, 2004**.

Title 4—DEPARTMENT OF ECONOMIC DEVELOPMENT Division 240—Public Service Commission Chapter 36—Alternative Dispute Resolution Procedural Rules Governing Filings Made Pursuant to the Telecommunications Act of 1996

ORDER OF RULEMAKING

By the authority vested in the Public Service Commission under section 386.410, RSMo 2000, the commission adopts a rule as follows:

4 CSR 240-36.010 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 2, 2004 (29 MoReg 197). Those sections with changes are reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: A public hearing on this and associated proposed rules was held March 12, 2004, and the public comment period ended March 5, 2004. At the public hearing, Nathan Williams, Senior Counsel in General Counsel's Office of the Public Service Commission of Missouri, and Natelle Dietrich, Regulatory Economist III of the Public Service Commission of Missouri provided oral responses to written comments. In addition, orally at the public hearing, Mike Dandino provided comments for the Office of the Public Counsel; Mimi McDonald, Senior Counsel for Southwestern Bell Telephone, LP, provided comments for Southwestern Bell Telephone, LP; Carl Lumley of Curtis, Oetting, Heinz, Garrett & O'Keefe, PC, provided comments for MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc.; Larry Dority of Fisher and Dority, PC, provided comments for CenturyTel of Missouri, LLC and Spectra Communications Group, LLC; and Lisa Chase of Andereck, Evans, Milne, Peace and Johnson, LLP, provided comments for Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company.

The staff of the Public Service Commission of Missouri, Southwestern Bell Telephone, LP, Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company, MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. and Sprint filed written comments.

COMMENT: Sprint suggests revising section (7) of the rule to append the language "or any other date as mutually agreed upon by both parties in writing" to that section.

RESPONSE: The definition of “request for negotiation” of section (7) is tied to proposed rule 4 CSR 240-36.040(2) which states the dates within which a petition for arbitration may be filed with the commission. The dates found in 4 CSR 240-36.040(2) are established by section 252(b)(1) of the Telecommunications Act of 1996. The parties may seek a waiver of the rule. No changes have been made to the rule as a result of this comment.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. propose to revise section (5) to “Arbitration means the submission of a dispute to the commission for resolution with the assistance of a third party neutral” because the commission will make the final decision. They propose modifying section (6) to specify that the relief sought is under section 252 of the Act, not just the Act. They propose that, for consistency with other proposed rules, section (8) be modified to: “Arbitrated agreement means the entire agreement filed by the parties in conformity with the arbitrator’s report as approved or modified by the commission.”

RESPONSE AND EXPLANATION OF CHANGE: Revision of sections (5) and (8) is warranted to clarify that it is the commission that ultimately makes the decision, not the arbitrator. Further, because it is the purpose of this and the accompanying proposed Chapter 36 rules to implement the provisions of section 252 of the Telecommunications Act of 1996, the proposal to modify section (6) to specify section 252 of the Act should be adopted. Sections (5), (6) and (8) of the rule will be changed.

4 CSR 240-36.010 Definitions

(5) Arbitration means the submission of a dispute to the commission for resolution by a process that will employ a neutral arbitrator who will facilitate resolution of the disputed issues through markup conferences and limited evidentiary hearings, and who will prepare a final report for acceptance, modification or rejection by the commission.

(6) Petition means an application to the commission for relief under section 252 of the Act.

(8) Arbitrated agreement means the entire agreement filed by the parties in conformity with the commission’s order approving, rejecting or modifying the arbitrator’s final report, in whole or in part.

Title 4—DEPARTMENT OF ECONOMIC DEVELOPMENT

Division 240—Public Service Commission Chapter 36—Alternative Dispute Resolution Procedural Rules Governing Filings Made Pursuant to the Telecommunications Act of 1996

ORDER OF RULEMAKING

By the authority vested in the Public Service Commission under section 386.410, RSMo 2000, the commission adopts a rule as follows:

4 CSR 240-36.020 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 2, 2004 (29 MoReg 197-198). The section with changes is reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: A public hearing on this and associated proposed rules was held March 12, 2004, and the public comment period ended March 5, 2004. At the public hearing, Nathan

Williams, Senior Counsel in General Counsel’s Office of the Public Service Commission of Missouri, and Natelle Dietrich, Regulatory Economist III of the Public Service Commission of Missouri provided oral responses to written comments. In addition, orally at the public hearing, Mike Dandino provided comments for the Office of the Public Counsel; Mimi McDonald, Senior Counsel for Southwestern Bell Telephone, LP, provided comments for Southwestern Bell Telephone, LP; Carl Lumley of Curtis, Oetting, Heinz, Garrett & O’Keefe, PC, provided comments for MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc.; Larry Dority of Fisher and Dority, PC, provided comments for CenturyTel of Missouri, LLC and Spectra Communications Group, LLC; and Lisa Chase of Andereck, Evans, Milne, Peace and Johnson, LLP, provided comments for Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company.

The staff of the Public Service Commission of Missouri, Southwestern Bell Telephone, LP, Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company, MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. and Sprint filed written comments.

COMMENT: Three specific comments were directed to this rule, in particular section (2) of the rule. Sprint suggests revising section (2) to delete all but the first sentence of that section. MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. question that the rule would not cost the industry more than five hundred dollars (\$500) to preserve and maintain historic information regarding prior cases. The staff of the Public Service Commission suggests modifying the existing language to reduce the amount of information required and therefore reduce the burden it places on a petitioner. The effect of implementing any of these comments would be to not require a petitioner to provide a “list of the telecommunications service(s) the petitioner offers in Missouri.”

RESPONSE AND EXPLANATION OF CHANGE: Revision of section (2) is warranted to reduce the burden on a petitioner. Section (2) of the rule will be changed.

4 CSR 240-36.020 Filing Procedures

(2) Only telecommunications carriers, as defined in the Act, providing or in the process of enabling their provision of telecommunications service, as defined in the Act, in the state of Missouri may file petitions under this chapter.

Title 4—DEPARTMENT OF ECONOMIC DEVELOPMENT

Division 240—Public Service Commission Chapter 36—Alternative Dispute Resolution Procedural Rules Governing Filings Made Pursuant to the Telecommunications Act of 1996

ORDER OF RULEMAKING

By the authority vested in the Public Service Commission under section 386.410, RSMo 2000, the commission adopts a rule as follows:

4 CSR 240-36.030 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 2, 2004 (29 MoReg 198-199). Those sections with changes are reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: A public hearing on this and associated proposed rules was held March 12, 2004, and the public comment period ended March 5, 2004. At the public hearing, Nathan Williams, Senior Counsel in General Counsel's Office of the Public Service Commission of Missouri, Natelle Dietrich, Regulatory Economist III of the Public Service Commission of Missouri provided oral responses to written comments. In addition, orally at the public hearing, Mike Dandino provided comments for the Office of the Public Counsel; Mimi McDonald, Senior Counsel for Southwestern Bell Telephone, LP, provided comments for Southwestern Bell Telephone, LP; Carl Lumley of Curtis, Oetting, Heinz, Garrett & O'Keefe, PC, provided comments for MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc.; Larry Dority of Fisher and Dority, PC, provided comments for CenturyTel of Missouri, LLC and Spectra Communications Group, LLC; and Lisa Chase of Andereck, Evans, Milne, Peace and Johnson, LLP, provided comments for Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company.

The staff of the Public Service Commission of Missouri, Southwestern Bell Telephone, LP, Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company, MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. and Sprint filed written comments.

COMMENT: Those who appeared at the public hearing generally endorsed this proposed rule as part of the group of rules proposed for Chapter 36 and the staff of the Public Service Commission of Missouri endorsed this rule in its written comments; however, particular issues were raised with respect to certain sections of this rule, as stated in the comments that follow.

RESPONSE: No changes have been made to the rule as a result of these general endorsements.

COMMENT: The staff of the Public Service Commission suggests modification of section (1) to add the word "rates" to the list of matters that may be the subject of mediation under the rule.

RESPONSE: As the commission's staff clarified in response to a query from the presiding officer during the public hearing, section (1) of the proposed rule tracks the language of section 252(a) of the Telecommunications Act of 1996 which lists "interconnection, services or network elements" and makes reference to section 251 of the Telecommunications Act of 1996. Section 251(c)(2)(D) expressly requires that interconnection be "on rates, terms, and conditions that are just, reasonable, and nondiscriminatory" Specific inclusion of rates in the list of matters that may be the subject of mediation under the rule could be read as a limitation on the items that may be mediated under the rule. No changes have been made to the rule as a result of this comment.

COMMENT: Sprint suggests revising section (2) of the rule to eliminate the possibility under the rule that a commissioner might be the mediator since any commissioner who acted as a mediator might not be able to vote on an agreement presented to the commission after mediation and arbitration and, further, because topics or issues may

be discussed or addressed that might have interplay with other commission cases. Southwestern Bell Telephone, LP, in addition to proposing that commissioners not be eligible to serve as mediators, proposed that commission staff also be ineligible to serve as mediators.

RESPONSE AND EXPLANATION OF CHANGE: The commission recognizes that, absent consent of the parties to the agreement, it would be inappropriate for a mediator to vote to accept, reject or modify an agreement reached after arbitration of the same matters that were the subject of the mediation. Further, the commission understands that matters may be disclosed during a mediation that could be relevant to other commission cases. To avoid these issues the commission will revise the rule to eliminate the option of a commissioner being the mediator. The commission staff has the technical expertise needed to conduct successful mediations without the added cost of procuring a mediator, which cost could be an impediment to participation in the process. Use of outside mediators is permissible under the proposed rule. Section (2) of the rule will be changed.

COMMENT: Sprint, MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc., suggest modifying section (3) of the rule as to the triggering event for the filing of written summaries with the mediator. Sprint proposes the triggering event be changed to the appointment of the mediator. MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. propose the triggering event be the date of the initial mediation conference addressed in section (4), that the trigger for the date of the initial mediation conference be tied to the date of the request for mediation and that both substantive and procedural issues be addressed at the initial mediation conference. They propose the initial mediation conference occur fifteen (15) days after the filing of the request for mediation rather than twenty-five (25) days and that the written summaries be filed two (2) days before the initial mediation conference.

RESPONSE AND EXPLANATION OF CHANGE: A party to a negotiation that does not request mediation should advise the commission of its willingness to mediate when another party to the negotiation requests the commission to mediate differences between the negotiating parties. Parties to negotiations do not require twenty-five (25) days from the date a request for mediation is made before they should be prepared to discuss procedure and substantive issues during a mediation conference. A new section (2) will be added to the rule, sections (2), (3) and (4) of the rule will be changed, and sections (2) to (18) will be renumbered to sections (3) to (19).

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc., suggest modifying section (10) of the rule to expand it beyond exchange of information in the form of documents or material to include access to information.

RESPONSE AND EXPLANATION OF CHANGE: The rule should be expanded to include participation by the mediator in resolving disputes over access to all forms of information as well as disputes as to the individuals who may have access to information.

COMMENT: The staff of the Public Service Commission suggests that section (11) be revised to state that the mediator may require parties to provide clarification and additional information needed to assist in resolution of the dispute rather than state that the mediator may request clarification and additional information. The staff notes that section (3) states that the mediator may require additional information or material at an earlier stage of the proceeding. Southwestern Bell Telephone, LP suggested that staff's proposed

change not be adopted as the party may not have the information the mediator desires.

RESPONSE AND EXPLANATION OF CHANGE: To emphasize the voluntary nature of mediations the language in section (11) should not be revised; however, the authority of the mediator to require supplemental material or information in section (3) should be revised to authorize that such material or information may be requested. Section (3) of the rule will be changed.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc., assert that the parties do not need the ten (10) days to determine whether a final proposed resolution made by the mediator is acceptable found in section (15) and suggest that five (5) days is adequate.

RESPONSE AND EXPLANATION OF CHANGE: The parties to a negotiation should not need ten (10) days to determine whether a final proposed resolution made by the mediator is acceptable; however, given that days here are calendar days, not business days, seven (7) days is an appropriate time period. Section (15) of the rule will be changed.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc., suggest that the reference in section (17)(A) to section 386.480 could be read as allowing the commission to order disclosure of information exchanged during mediation and, if so read, would inhibit candid mediated negotiations.

RESPONSE: As worded subsection (17)(A) states that "The entire mediation process shall be kept confidential. . . ." The suggested interpretation of the reference to the statute in subsection (17)(A) is, at best, strained. This rule will be promulgated by an order of the commission. Accordingly, the commission exercises its discretion under section 386.480 not to disclose this information. No changes have been made to the rule as a result of this comment.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc., suggest that section (18) could be modified to state that the agreement must be submitted under another proposed rule, 4 CSR 240-36.060.

RESPONSE: As indicated in response to comments made as to proposed rule 4 CSR 240-36.060, the Public Service Commission is withdrawing that rule because the commission is considering the substance of proposed rule 4 CSR 240-36.060 in another rulemaking for another chapter. No changes have been made to this rule as a result of this comment.

4 CSR 240-36.030 Mediation

(2) **Response to Request for Mediation**—Within five (5) days of a request to the commission for mediation, each party to a negotiation that has not requested mediation shall advise the commission of its willingness to mediate the differences between the negotiating parties.

(3) **Appointment of Mediator**—When all parties to a negotiation agree to mediation, the commission shall appoint a mediator within ten (10) days of the request for mediation. The mediator shall be an employee of the commission unless the parties consent to the appointment of an outside mediator. The costs of an outside mediator shall be borne equally by the parties. The mediator shall be disqualified from participating as an arbitrator or presiding officer in subsequent proceedings regarding the same negotiation. Presiding officer is defined in 4 CSR 240-2.120.

(4) **Parties' Statements**—Within thirteen (13) days after the filing of a request for mediation, each party to the negotiation shall submit a written statement to the mediator summarizing the dispute, and shall furnish such other material and information it deems appropriate to familiarize the mediator with the dispute. The mediator may request any party to provide supplemental material or information.

(5) **Initial Mediation Conference**—Unless the mediator advises the parties otherwise, the mediator shall convene an initial conference within two (2) days after the filing of the parties' statements or the date that they are due, whichever is earlier. At the initial conference, the parties and mediator shall discuss a procedural schedule, and attempt to identify, simplify and limit the issues to be resolved. Each party should be prepared to informally present its position and arguments to the mediator at the initial mediation conference and to engage in mediated negotiations on substantive issues.

(6) **Conduct of the Mediation**—The mediator, subject to the rules contained herein, shall control the procedural aspects of the mediation.

(7) **Mediations Closed to the Public**—To provide for effective mediation, participation in a mediation is strictly limited to the parties involved in the negotiation of the agreement contemplated by sections 251 and 252 of the Act that is the subject of the mediation. All mediation proceedings shall remain closed to the public.

(8) **Caucusing**—The mediator is free to meet and communicate separately with each party. The mediator shall decide when to hold such separate meetings. The mediator may request that there be no direct communication between the parties or between their representatives regarding the dispute without the concurrence of the mediator.

(9) **Joint Meetings**—The mediator shall decide when to hold joint meetings with the parties and shall fix the time and place of each meeting and the agenda thereof. Formal rules of evidence shall not apply to these meetings or any portion of the mediation proceeding.

(10) **No Stenographic Record**—No record, stenographic or otherwise, shall be taken of any portion of the mediation proceeding.

(11) **Exchange of Additional Information**—If any party has a substantial need for documents or other material in the possession of another party, the parties shall attempt to agree on the exchange of requested documents or other material. Further, if any party has substantial need for other information in the possession of another party, or if any party wishes to disclose to its employees information that it obtained from another party, the parties shall attempt to reach agreement on disclosure of the information and who may see it. Should they fail to agree, either party may request a joint meeting with the mediator who shall assist them in their effort to reach an agreement. The parties may enter into nondisclosure agreements. At the conclusion of the mediation process, upon the request of the party that provided the documents or other material to one or more of the mediating parties the recipients shall return such documents or material to the originating party without retaining copies thereof.

(12) **Request for Further Information by the Mediator**—The mediator may request any mediating party to provide clarification and additional information necessary to assist in the resolution of the dispute.

(13) **Responsibility of the Parties to Negotiate and Participate**—Parties are expected to initiate proposals for resolution of the dispute, including proposals for partial resolution. Each party is expected to be able to provide to the mediator that party's justification for the terms of any resolution that it proposes.

(14) **Authority of the Mediator**—The mediator does not have authority to resolve the dispute, but the mediator shall help the parties

attempt to reach a mutually satisfactory resolution. At any time during the mediation, the mediator may recommend to the parties only, oral or written proposals for resolution of the dispute, in whole or in part.

(15) **Reliance by Mediator Upon Experts**—The mediator may use the services of and rely on experts retained by, or employed by, the commission for purposes of the mediation. Other than subsequent mediations, if any, such experts shall not participate, directly or indirectly, in any subsequent proceedings regarding the same negotiation. The mediator shall disclose to the parties the identities of all experts that provide any services to the mediator for purposes of the mediation.

(16) **Impasse and Recommended Resolution of Mediator**—In the event that the parties fail to resolve their dispute, the mediator, before terminating the mediation, shall submit to all of the parties a final proposed resolution that addresses all or part of the disputed issues. Each party shall advise the mediator within seven (7) days of the date the mediator issues the proposed resolution as to whether the party accepts the mediator's proposed resolution.

(17) **Termination of the Mediation**—Any of the following events shall terminate the mediation:

(A) The mediating parties execution of an agreement that resolves all disputed issues;

(B) Written service by a party on the mediator and other parties of a declaration that the mediation proceedings are terminated; or

(C) The mediator's submission to the parties and the commission of a written declaration that further mediation would be futile. Such a declaration shall be conclusory and neutrally worded to avoid any negative inference respecting any party to the mediation.

(18) **Confidentiality**—

(A) The entire mediation process shall be kept confidential, except for the terms of any final agreements reached during the mediation. The parties, the mediator and any experts used by the mediator, unless all parties agree otherwise, shall not disclose information obtained during the mediation process to anyone that did not participate in the mediation, including, but not limited to, commissioners, commission staff and third parties; provided, however, that the commissioners may be informed in writing, with a copy provided to each party to the mediation, of the identity of the participants and, in the most general manner, the progress of the mediation. Section 386.480, RSMo 2000 is applicable to mediations.

(B) Except as the parties otherwise agree, the mediator, and any experts used by the mediator, shall keep confidential all information contained in any written materials, the materials themselves and any other information submitted to the mediator. All records, reports, or other documents received by the mediator while serving in that capacity shall remain confidential. The mediating parties and their representatives are not entitled to receive or review any such materials or information submitted to the mediator by another party or representative, without the concurrence of the submitting party. At the conclusion of the mediation, the mediator shall return to the submitting party all written materials and other documents which that party provided the mediator.

(C) The mediator shall not divulge records, documents and other information submitted to him or her during the mediation proceeding, nor shall the mediator testify in regard to the mediation, in any subsequent adversarial proceeding or judicial forum. The parties shall maintain the confidentiality of the mediation and shall not rely on, or introduce as evidence in any arbitration, judicial or other proceeding, any of the following:

1. Views expressed or suggestions made by another party with respect to a possible resolution of the dispute;

2. Statements made by another party in the course of the mediation;

3. Proposals made or views expressed by the mediator; or

4. The fact that another party had or had not indicated willingness to accept a resolution proposed by the mediator.

(19) **Post-Agreement Procedure**—The parties shall present to the commission for approval any final agreements reached during mediation. Such proposed agreements, on the face of the agreement, shall:

(A) Not discriminate against a telecommunications carrier not a party to the mediated agreement;

(B) Be consistent with the public interest, convenience and necessity; and

(C) Comply with the commission's service quality standards for telecommunications services as well as the requirements of all other rules, regulations, and orders of the commission.

Title 4—DEPARTMENT OF ECONOMIC DEVELOPMENT

Division 240—Public Service Commission

Chapter 36—Alternative Dispute Resolution Procedural Rules Governing Filings Made Pursuant to the Telecommunications Act of 1996

ORDER OF RULEMAKING

By the authority vested in the Public Service Commission under section 386.410, RSMo 2000, the commission adopts a rule as follows:

4 CSR 240-36.040 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 2, 2004 (29 MoReg 199-202). Those sections with changes are reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: A public hearing on this and associated proposed rules was held March 12, 2004, and the public comment period ended March 5, 2004. At the public hearing, Nathan Williams, Senior Counsel in General Counsel's Office of the Public Service Commission of Missouri, Natelle Dietrich, Regulatory Economist III of the Public Service Commission of Missouri provided oral responses to written comments. In addition, orally at the public hearing, Mike Dandino provided comments for the Office of the Public Counsel; Mimi McDonald, Senior Counsel for Southwestern Bell Telephone, LP, provided comments for Southwestern Bell Telephone, LP; Carl Lumley of Curtis, Oetting, Heinz, Garrett & O'Keefe, PC, provided comments for MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc.; Larry Dority of Fisher and Dority, PC, provided comments for CenturyTel of Missouri, LLC and Spectra Communications Group, LLC; and Lisa Chase of Anderreck, Evans, Milne, Peace and Johnson, LLP, provided comments for Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company.

The staff of the Public Service Commission of Missouri, Southwestern Bell Telephone, LP, Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company, MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. and Sprint filed written comments.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc., suggest that section (1) should include a reference to section 252 of the Telecommunications Act of 1996 as well as a reference to section 251 of that act.

RESPONSE AND EXPLANATION OF CHANGE: Because 47 U.S.C. section 252 addresses negotiations and when parties to negotiations may seek arbitration it should also be referenced in the rule. Section (1) of the rule will be changed.

COMMENT: Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company assert that the rule should provide for notice to carriers that are not parties to the negotiations, but to whom traffic contemplated in the negotiations is destined, to allow them the opportunity to participate in the negotiations as to provisions addressing such traffic. In particular, these commenters suggest adding a requirement in section (3) to disclose whether resolved or unresolved aspects of the agreement in question address traffic destined for any carrier not a party to the agreement. CenturyTel of Missouri, LLC and Spectra Communications Group, LLC support limiting the participants in the arbitration to the parties to the negotiation. Southwestern Bell Telephone, LP also takes the position that only parties to the negotiation should participate in the arbitration and, further, suggests that allowing third parties to participate in the arbitration would violate section 252 of the Act. Southwestern Bell Telephone, LP correctly paraphrases section 252(b)(4)(A) which provides: "The State commission shall limit its consideration of any petition filed under paragraph (1) (and any response thereto) to the issues set forth in the petition and in the response, if any, filed under paragraph (3)." MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that the appropriate point in the proceedings at which a non-party to the negotiation should be heard is when the negotiated agreement is being presented to the commission for approval, not earlier.

RESPONSE: No changes have been made to section (3) of the rule as a result of this comment.

COMMENT: The staff of the Public Service Commission suggests that subsection (3)(B) be revised to require the petition to only state the petitioner's positions on unresolved issues and not those of the other parties. Southwestern Bell Telephone, LP points out that section 252(b)(2)(A)(ii) of the Telecommunications Act of 1996 requires the petition to a state commission for arbitration to include the position of each of the parties with respect to the unresolved issues and opposes the staff's proposed change.

RESPONSE: No changes have been made to the rule as a result of this comment.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that subsection (3)(D) mandates the organization of a proposed agreement follow that of an agreement previously arbitrated and approved by the commission and that such a requirement should be eliminated, or that at least the limitation to arbitrated agreements should be eliminated.

RESPONSE: The rule does not mandate the organization of a proposed agreement; it expresses a preference. No changes have been made to the rule as a result of this comment.

COMMENT: Sprint, MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services,

LLC and AT&T of the Southwest, Inc. suggest that the subsection (3)(E) requirement that direct testimony supporting the petitioner's positions be filed with the petition be deleted. In support of their position MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that individuals involved in negotiations also tend to be the witnesses in arbitrations, that similar activities may be taking place in multiple jurisdictions at the same time and that it would be better for the parties and arbitrator to develop a schedule for filing testimony in each arbitration, with filing testimony with the petition an available option.

RESPONSE AND EXPLANATION OF CHANGE: Section 252(b)(2)(A) of the Telecommunications Act of 1996 directs that a petitioner shall provide to the commission all relevant documentation concerning the unresolved issues, the position of each party on each unresolved issue, and any issue discussed and resolved by the parties. Rather than requiring the filing of testimony, subsection (3)(E) will be changed to require the filing of all relevant documentation that supports the petitioner's position on each unresolved issue.

COMMENT: Sprint suggests that the reference to proposed rule 4 CSR 240-36.020(2) be deleted from subsection (3)(F) as Sprint has proposed the certification requirement of proposed rule 4 CSR 240-36.020(2) be deleted.

RESPONSE AND EXPLANATION OF CHANGE: Because the commission has deleted the certification requirement of proposed rule 4 CSR 240-36.020(2) it adopts Sprint's proposal. Subsection (3)(F) of the rule will be revised.

COMMENT: Southwestern Bell Telephone, LP objects to section (4) which provides that the commission will appoint an arbitrator. The bases of the objection are Southwestern Bell Telephone, LP's claims that neither the Telecommunications Act of 1996 nor state statute authorize the commission to delegate its authority to act as an arbitrator. MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that as the rule is drafted, the arbitrator acts as a special master who develops the record and recommends a decision to the Public Service Commission which ultimately decides the arbitration.

RESPONSE: Under the rule, the Public Service Commission ultimately makes the arbitration decision. No changes have been made to the rule as a result of this comment.

COMMENT: Southwestern Bell Telephone, LP objects to the authorization for the arbitrator to utilize entire package arbitration found in subsection (5)(A) for providing no standard for when the arbitrator may use this approach, for providing no deadline by which parties will know what approach the arbitrator is using, for limiting the commission's ability to make decisions on each issue and because entire package arbitration appears inconsistent with section (19) which requires the arbitrator's report to the commission to address each issue and for the arbitrator, on each issue, to adopt the position of one of the parties. MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. echo the concern with use of entire package arbitration pointing out that it could force the acceptance of bad results on particular issues.

RESPONSE AND EXPLANATION OF CHANGE: Unresolved issues being decided by arbitration should be decided issue-by-issue. Thus, final offer arbitration should be issue-by-issue final arbitration, unless the parties choose to employ entire package final arbitration. Subsection (5)(A) of the rule will be changed.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that subsection (5)(B) should be modified to allow a settlement to go to the arbitrator rather than the commission as the settlement is likely to be partial rather than a total settlement and that parties should be allowed to amend their final offers with the consent of the other parties. Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company propose modifying subsection (5)(B) to prohibit negotiations of provisions that would affect third party carriers unless those carriers agree to any settlements reached and submitted to the commission. CenturyTel of Missouri, LLC and Spectra Communications Group, LLC support limiting the participants in the arbitration to the parties to the negotiation. Southwestern Bell Telephone, LP also takes the position that only parties to the negotiation should participate in the arbitration and, further, suggests that allowing third parties to participate in the arbitration would violate section 252 of the Act. Southwestern Bell Telephone, LP correctly paraphrases section 252(b)(4)(A) which provides: "The State commission shall limit its consideration of any petition filed under paragraph (1) (and any response thereto) to the issues set forth in the petition and in the response, if any, filed under paragraph (3)." MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that the appropriate point in the proceedings at which a non-party to the negotiation should be heard is when the negotiated agreement is being presented to the commission for approval, not earlier.

RESPONSE AND EXPLANATION OF CHANGE: The rule should be changed to state that settlements may be submitted to the arbitrator after final arbitration offers are submitted. Section (5) of the rule will be changed in response to this comment.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that subsection (5)(D) is duplicative of subsection (5)(E) and that subsections (5)(D), (5)(E) and (5)(F) should refer to the Federal Communication Commission's rules in addition to the commission's rules. The staff of the Public Service Commission recommends expanding paragraph (5)(E)2. to include terms and conditions as well as rates. Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company propose modifying subsection (5)(F) to authorize the arbitrator to adopt a result submitted by an intervening carrier that is not a party to the negotiation. CenturyTel of Missouri, LLC and Spectra Communications Group, LLC support limiting the participants in the arbitration to the parties to the negotiation. Southwestern Bell Telephone, LP also takes the position that only parties to the negotiation should participate in the arbitration and, further, suggests that allowing third parties to participate in the arbitration would violate section 252 of the Act. Southwestern Bell Telephone, LP correctly paraphrases section 252(b)(4)(A) which provides: "The State commission shall limit its consideration of any petition filed under paragraph (1) (and any response thereto) to the issues set forth in the petition and in the response, if any, filed under paragraph (3)." MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that the appropriate point in the proceedings at which a non-party to the negotiation should be heard is when the negotiated agreement is being presented to the commission for approval, not earlier.

RESPONSE AND EXPLANATION OF CHANGE: The rule should be changed to eliminate duplication, state that the final offer must comply with the applicable rules of the Federal Communications Commission and clarify that rates are not the only issue for interconnection. Section (5) of the rule will be changed in response to this comment.

COMMENT: Sprint, MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that the section (7) requirement that direct testimony supporting the respondent's positions be filed with the response to the petition be deleted. In support of their position MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that individuals involved in negotiations also tend to be the witnesses in arbitrations, that similar activities may be taking place in multiple jurisdictions at the same time and that it would be better for the parties and arbitrator to develop a schedule for filing testimony in each arbitration.

RESPONSE AND EXPLANATION OF CHANGE: Section 252(b)(3) of the Telecommunications Act of 1996 directs that a party responding to a petition may provide to the commission such additional information that it wishes to provide. Rather than requiring the filing of testimony, section (7) will be changed to require the filing of all relevant documentation that supports the responding party's position on each unresolved issue.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that cost studies upon which the incumbent local exchange carrier relies for rates should be made available to the other party(ies) to the negotiation, subject to any applicable protective order or nondisclosure agreement, immediately upon the filing of the petition for arbitration. Southwestern Bell Telephone, LP responds that such a requirement would violate section 252(b)(3) of the Telecommunications Act of 1996 which allows twenty-five (25) days for response to a petition for arbitration.

RESPONSE: Ideally, where rates are involved, the parties will be exchanging cost study information during their negotiations and long before the filing of a petition for arbitration. The Public Service Commission notes that 47 CFR section 51.505(e)(2) requires that where the commission considers a cost study for purposes of establishing rates, the cost study be in the record before the commission; thus, cost studies upon which the parties want the commission to rely should be included in the documentation filed with the petition or response. No changes to the rule have been made as a result of this comment.

COMMENT: The staff of the Public Service Commission states that section (7) requires the respondent to file a proposed agreement that identifies resolved issues and unresolved issues in duplication of the document that the petitioner is required to file under section (3).

RESPONSE: Under section (3) the petitioner is to file a proposed agreement that identifies resolved issues with the agreed to language and unresolved issues with the petitioner's proposed language. Under section (7) the respondent is to file a proposed agreement that identifies the language the parties have agreed to (resolved issues) and both the petitioner's and the respondent's proposed language for unresolved issues. No changes to the rule have been made as a result of this comment.

COMMENT: Sprint suggests that section (9) be modified to eliminate references to the filing of rebuttal testimony consistent with its view that the dates for filing of all testimony should be set after the initial meeting referred to in section (9). MCI WorldCom

Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that the holding of an initial conference be mandatory, not optional.

RESPONSE AND EXPLANATION OF CHANGE: Because the Public Service Commission has revised sections (3) and (7) of the rule from requiring "direct testimony" to requiring "all relevant documentation" be filed with the petition and response, the modifier "rebuttal" should not be used with the word "testimony" in this section. Section (9) of the rule will be changed.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest that sections (10) and (13) be modified to give the arbitrator more flexibility in the timing of conferences. Southwestern Bell Telephone, LP raises a concern that the language of section (10) leaves it to the arbitrator's discretion to determine which issues are factual and require evidentiary hearings and the apparent inflexibility of the arbitrator to vary the timing under which conferences and hearings are to begin.

RESPONSE AND EXPLANATION OF CHANGE: Sections (10) and (13) will be revised to clarify the commission's intent that identification of factual issues will be a collaborative process and that the arbitrator has discretion in the scheduling of the conferences and hearings.

COMMENT: Sprint suggests that the unresolved issues should be limited to those framed by the petition and response, and suggests modifying section (11) to eliminate the reference to the revised statement of unresolved issues.

RESPONSE: The revised statement of unresolved issues is limited in section (8) of the rule to a listing of issues raised in the petition and response. No changes to the rule have been made as a result of this comment.

COMMENT: Sprint expressed concern with the use by the arbitrator of outside experts contemplated in section (12). In particular Sprint noted the time required to retain such an expert and the likelihood of one or more parties questioning the neutrality of the expert. MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. comment that outside experts, regardless of whether on advisory staff, should not be affiliated with the parties, including in the recent past. Southwestern Bell Telephone, LP objects to section (12) in its entirety asserting the section apparently contemplates that advisory staff will provide information to the arbitrator that is not shared with the parties implicating due process as expressed in both the state and federal constitutions, and in Southwestern Bell Telephone, LP's view, sections 386.420(1), 435.370(2), 491.070 and 536.070(2) of the *Revised Statutes of Missouri*. Southwestern Bell Telephone, LP asserts that if the commission employs advisory staff under a rule, then the rule must specifically limit the permissible scope of activities and must specifically prohibit the advisory staff from providing input regarding any factual or mixed factual/legal issues before the arbitrator for resolution. The staff of the Public Service Commission suggests that the list of those with whom advisory staff is prohibited from having *ex parte* contacts during the arbitration be expanded to include staff or outside individuals who provide responses to questions in the arbitration.

RESPONSE AND EXPLANATION OF CHANGE: As proposed, the rule limits the role of advisory staff to providing legal advice and other analysis, not to creating extra-record evidence. Nothing in the rule requires that outside experts not be retained until after the filing of a petition for arbitration. Nothing in the rule would require biased

outside experts. While there are legitimate concerns raised as to how advisory staff and outside experts may be utilized, nothing in the proposed rule itself is a violation of due process embodied in state or federal constitution, or law. Unlike the identity of parties, who are known at the outset of the arbitration, the identity of commission staff or outside individuals who will provide responses to questions likely will not be known until the arbitration process is well underway. Rather than establishing by rule a blanket prohibition on *ex parte* contacts by the arbitrator's advisory staff with commission staff and outside individuals who answer questions, the commission will leave it to the arbitrator's discretion to conduct the proceedings in a fashion that avoids any appearance of impropriety and comports with due process. However, changes will be made to the rule to emphasize and clarify that questions and responses to questions posed to commission staff members and outside experts will be part of the record of the arbitration, and that these persons will be subject to cross-examination by parties on their responses. Further, changes will be made to emphasize and clarify that the arbitrator's advisory staff is not the commission's advisory staff allowed by Missouri statute and that the role of the arbitrator's advisory staff is to provide legal advice and analysis, not to provide evidence, extra-record or otherwise. Section (12) of the rule will be changed.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest specifying that "here" in section (15) refers to "this rule 36.040."

RESPONSE AND EXPLANATION OF CHANGE: Clarity will be enhanced by making the reference in the second clause of the second sentence of section (15) from "here" to "in this rule." Section (15) of the rule will be changed.

COMMENT: The staff of the Public Service Commission suggests modifying section (16) to clarify that commission staff or outside individuals may participate in arbitration conferences or hearings to the extent required for them to provide answers to questions posed to them by the arbitrator as contemplated in section (12). MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. echo the staff's concern and state that those not parties to a negotiation should raise their concerns when the agreement is being presented to the commission for approval, not before. Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company assert that section (16) of the rule should be modified to allow participation in the arbitration proceedings of carriers to which traffic addressed in the negotiation is destined. Southwestern Bell Telephone, LP objects to the use of advisory staff out of a concern that due process will be violated and requests the reference to advisory staff be stricken from section (16). CenturyTel of Missouri, LLC and Spectra Communications Group, LLP oppose participation in the arbitration of those not parties to the negotiation. Public Counsel points out its statutory role and requests modification of the rule to include it as a party to arbitrations.

RESPONSE AND EXPLANATION OF CHANGE: As indicated in its response to proposed changes to section (12), the use of advisory staff as contemplated in the rule is not a violation of due process embodied in state or federal constitution, or law. Only those parties to the negotiation should participate in arbitration conferences and hearings. Section (16) of the rule will be changed to clarify that commission staff and outside experts may participate in arbitration conferences or hearing, but only to the extent required to provide answers to questions posed by the arbitrator as contemplated in section (12) of the rule.

COMMENT: Southwestern Bell Telephone, LP objects to the requirement in section (17) that arbitration hearings be held in an open forum and requires the arbitrator to consult with the commission to close proceedings from the public.

RESPONSE: Section (17) provides that requests to close proceedings from the public shall be made in writing and that the arbitrator will consult with the commission in acting on such a request. Although Southwestern Bell Telephone, LP's comment is drafted on the apparent premise that such requests will be made during the conferences and hearings, nothing in the rule prohibits such a request from being made in advance of such proceedings and, given the time constraints to which the commenter alludes, the rule contemplates that such requests typically will be made in advance. No changes to the rule have been made as a result of this comment.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. suggest modification of section (18) to expressly state that the arbitrator has discretion to extend the time within which post-hearing briefs may be filed.

RESPONSE AND EXPLANATION OF CHANGE: The arbitrator's authority to extend the time within which post-hearing briefs should be more explicitly stated in the rule. Section (18) of the rule will be changed.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission assert that restrictions on *ex parte* communications should attach upon the filing of the petition.

RESPONSE AND EXPLANATION OF CHANGE: In 2003 the Missouri Legislature enacted House Bill 208, now codified at section 386.210 of the *Revised Statutes of Missouri*. That bill establishes when appropriate *ex parte* communications may take place and how disclosure of *ex parte* communications is to occur. The same timing and process should be followed in arbitrations under the Act. The reference to Rule 4 CSR 240-4.020 in section (22) will be changed to refer to section 386.210, RSMo. Section (22) of the rule will be revised.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission suggest that rejection of the arbitrator's report as an option of the commission in section (24) should not be allowed as the commission must make a decision, or that if the report is rejected that the commission must make its own decisions. Southwestern Bell Telephone, LP objects to section (24) and asserts that it should be modified to permit the parties to conduct oral argument and present evidence on any objection to the final arbitrator's report.

RESPONSE AND EXPLANATION OF CHANGE: The rule should be changed to emphasize that it is the commission that makes the final determinations on the unresolved issues. Parties will have had ample opportunity to present their positions on the disputed issues in a record that the commission can review; therefore, the opportunity for oral argument and to present evidence to the commission on objections to the arbitrator's report need not be mandatory. Section (24) of the rule will be changed.

4 CSR 240-36.040 Arbitration

(1) Who May Petition for Arbitration—A party to a negotiation entered into pursuant to sections 251 and 252 of the Act may file a petition for arbitration.

(3) Content—A petition for arbitration must contain:

(E) All relevant documentation that supports the petitioner's position on each unresolved issue; and

(F) Documentation that the petition complies with the time requirements of 4 CSR 240-36.040(2).

(5) Style of Arbitration—An arbitrator, acting pursuant to the commission's authority under section 252(e)(5) of the Act, shall use final offer arbitration, except as otherwise provided in this section:

(A) Final offer arbitration shall take the form of issue-by-issue final offer arbitration, unless all of the parties agree to the use of entire package final offer arbitration. The arbitrator in the initial arbitration meeting shall set time limits for submission of final offers and time limits for subsequent final offers, which shall precede the date of a limited evidentiary hearing.

(B) Negotiations among the parties may continue, with or without the assistance of the arbitrator, after final arbitration offers are submitted. Parties may submit to the arbitrator or commission, as appropriate, any settlements reached following such negotiations.

(D) Each final offer submitted by the parties to the arbitrator shall:

1. Meet the requirements of section 251 of the Act, including the rules prescribed by the commission and the Federal Communications Commission pursuant to that section;

2. Establish interconnection, services, or access to unbundled network elements according to section 252(d) of the Act, including the rules prescribed by the commission and the Federal Communications Commission pursuant to that section; and

3. Provide a schedule for implementation of the agreement.

(E) If a final offer submitted by one (1) or more parties fails to comply with the requirements of this section or if the arbitrator determines in unique circumstances that another result would better implement the Act, the arbitrator has discretion to take steps designed to result in an arbitrated agreement that satisfies the requirements of section 252(c) of the Act, including requiring parties to submit new final offers within a time frame specified by the arbitrator, or adopting a result not submitted by any party that is consistent with the requirements of section 252(c) of the Act, and the rules prescribed by the commission and the Federal Communications Commission pursuant to that section.

(7) Opportunity to Respond—Pursuant to subsection 252(b)(3) of the Act, any party to a negotiation, which did not file a petition for arbitration ("respondent"), shall file with the commission, within twenty-five (25) days of the date the petition for arbitration is filed with the commission, a response to the petition for arbitration. For each issue listed in the petition, the respondent shall restate the issue followed by the respondent's position on that issue. The respondent shall also identify and present any additional issues for which the respondent seeks resolution and provide such additional information and evidence necessary for the commission's review. The respondent shall include, in the response, a document containing the language upon which the parties agree and, show where the parties disagree, and provide both the petitioner's proposed language (bolded) and the respondent's proposed language (underscored). Finally, the response must contain all relevant documentation that supports the respondent's position on each issue identified in the response that remains unresolved. On the same day that the respondent files a response with the commission, the respondent must serve a copy of the response, and all supporting documentation, on each other party to the negotiation.

(9) Initial Arbitration Meeting—The arbitrator may call a mandatory initial meeting for purposes such as setting a procedural schedule, establishing a time limit for submission of final offers, allowing the filing of testimony, setting times by which testimony may be filed, simplifying issues, or resolving the scope and timing of discovery.

(10) Arbitration Conferences and Hearings—The arbitration shall consist of markup conferences and limited evidentiary hearings. At the markup conferences, the arbitrator shall hear the concerns of the

parties, determine whether the parties can further resolve their differences, and, with the parties, identify factual issues that may require limited evidentiary hearings. The arbitrator shall also announce rulings at the conferences as the issues are resolved. The conduct of the conferences and hearings shall be noticed on the commission's hearings calendar and notice shall be provided to all parties on the service list. Parties are expected to respond to questions from the arbitrator, and the arbitrator's advisory staff. The parties shall be given the opportunity to present witnesses at an on-the-record evidentiary hearing, and to cross-examine the witnesses of the other party(ies) to the arbitration. These conferences and hearings shall commence as soon as possible after all responses to the petition for arbitration are filed with the commission.

(12) Arbitrator's Reliance on Experts—The arbitrator may rely upon:

(A) An arbitrator advisory staff to assist the arbitrator in the decision-making process. The arbitrator shall appoint the members of the arbitrator advisory staff from either or both commission staff and retained outside experts. The arbitrator shall inform the parties of the names of the members of the arbitrator advisory staff. Arbitrator advisory staff shall not have *ex parte* contacts with any of the parties individually regarding the issues in the negotiation. The arbitrator advisory staff's role is limited to providing legal advice and other analysis to the arbitrator, not to provide evidence. Persons that advised a mediator regarding the same negotiation are ineligible to serve as members of the arbitrator advisory staff.

(B) Responses to questions posed by the arbitrator that are made by commission staff members or outside individuals who are not members of advisory staff. Upon the arbitrator's request, and after notice to the parties to the arbitration, the arbitrator may pose questions to commission staff members or outside individuals who are not advisory staff. These questions shall be answered either in written form or at an arbitration session attended by the parties. The parties may submit written responses to answers to technical questions in a timely manner as determined by the arbitrator and shall be entitled to cross-examine any commission staff member or outside individual regarding the answer he, or she, provides in response to a question posed by the arbitrator. These questions and responses shall be included in the record before the arbitrator and commission.

(13) Close of Arbitration—The conference and hearing process is to conclude within ten (10) days of the commencement of the first hearing, unless the arbitrator determines otherwise.

(15) Authority of the Arbitrator—In addition to authority granted elsewhere in this rule, the arbitrator shall have the same authority in conducting the arbitration as a presiding officer, as defined in 4 CSR 240-2.120, has in conducting hearings under the commission's rules of practice and procedure. Because of the short time frame mandated by the Act, the arbitrator shall have flexibility to set out procedures that may vary from those set out in this rule; however, the arbitrator's procedures must substantially comply with the procedures listed herein. The arbitrator may vary from the schedule in this rule as long as the arbitrator complies with the deadlines contained in the Act.

(16) Participation in the Arbitration Conferences and Hearings—Participation in the arbitration conferences and hearings is strictly limited to the parties in a negotiation pursuant to sections 251 and 252 of the Act, the arbitrator, the arbitrator's advisory staff and, only to the extent needed to provide the answer(s) to a question(s) posed by the arbitrator under the procedure of section (12), commission staff and outside experts. Only those parties involved in the negotiation shall be parties in the arbitration. Others that formally request to be kept apprised of the arbitration proceeding will be placed on the "Information Only" portion of the service list.

(18) Filing of Post-Hearing Briefs—Each party to the arbitration may file a post-hearing brief within seven (7) days of the end of the

markup conferences and hearings, unless the arbitrator extends the due date. Post-hearing briefs shall present, for each disputed issue, the party's argument in support of adopting its recommended position, with all supporting evidence and legal authorities cited therein. The arbitrator may limit the length of post-hearing briefs. The arbitrator shall also establish a time for the filing of reply briefs. The arbitrator may also permit or require the parties to file proposed arbitrator's reports or decisions.

(22) *Ex Parte* Rules Applicable to Arbitration Proceedings—the restrictions on *ex parte* communications contained in 386.210, RSMo apply to arbitration proceedings held under this rule.

(24) Commission's Decision—The commission may conduct oral argument concerning comments on the arbitrator's final report and may conduct evidentiary hearings at its discretion. The commission shall make its decision resolving all of the unresolved issues no later than the two hundred seventieth day following the request for negotiation. The commission may adopt, modify or reject the arbitrator's final report, in whole or in part.

Title 4—DEPARTMENT OF ECONOMIC DEVELOPMENT

Division 240—Public Service Commission

Chapter 36—Alternative Dispute Resolution Procedural Rules Governing Filings Made Pursuant to the Telecommunications Act of 1996

ORDER OF RULEMAKING

By the authority vested in the Public Service Commission under section 386.410, RSMo 2000, the commission adopts a rule as follows:

4 CSR 240-36.050 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 2, 2004 (29 MoReg 202). Those sections with changes are reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: A public hearing on this and associated proposed rules was held March 12, 2004, and the public comment period ended March 5, 2004. At the public hearing, Nathan Williams, Senior Counsel in General Counsel's Office of the Public Service Commission of Missouri, Natelle Dietrich, Regulatory Economist III of the Public Service Commission of Missouri provided oral responses to written comments. In addition, orally at the public hearing, Mike Dandino provided comments for the Office of the Public Counsel; Mimi McDonald, Senior Counsel for Southwestern Bell Telephone, LP, provided comments for Southwestern Bell Telephone, LP; Carl Lumley of Curtis, Oetting, Heinz, Garrett & O'Keefe, PC, provided comments for MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc.; Larry Dority of Fisher and Dority, PC, provided comments for CenturyTel of Missouri, LLC and Spectra Communications Group, LLC; and Lisa Chase of Andereck, Evans, Milne, Peace and Johnson, LLP, provided comments for Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company.

The staff of the Public Service Commission of Missouri, Southwestern Bell Telephone, LP, Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company, MCI WorldCom

Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. and Sprint filed written comments.

COMMENT: The staff of the Public Service Commission suggests that because of the use of commission resources in conducting arbitrations, the parties to them should not be able to agree to a different result than that reached by the commission after the commission makes its decision. MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC, AT&T of the Southwest, Inc. and Southwestern Bell Telephone, LLP disagree with the commission's staff and suggest that the parties should always be free to negotiate an agreement.

RESPONSE: A goal of the Telecommunications Act of 1996 is for parties to voluntarily enter into agreements. No changes have been made to the rule as a result of this comment.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc., suggest that section (1) should be changed to state that the commission will establish the date for the filing of the agreement when it makes its arbitration decision rather than establishing a time frame of seven (7) days. Sprint proposes the time frame be extended from seven (7) days to ten (10) days.

RESPONSE AND EXPLANATION OF CHANGE: The commission agrees that it should be explicitly clear that the commission can vary from the seven (7) days established in the rule. Section (1) of the rule will be changed.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. point out that the reference in section (2) to section 36.050(3) should instead be to section 36.050(4), the section that references standards for review. Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company argue that the time frame in this section as well as the thirty (30) days for commission action in section (3) is inadequate to frame and decide issues regarding traffic that is the subject of the agreement that is destined to a carrier that is not a party to the agreement. MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. assert that those not parties to a negotiation should raise their concerns when the agreement is being presented to the commission for approval. CenturyTel of Missouri, LLC and Spectra Communications Group, LLC oppose that such issues be raised during the arbitration process, i.e., before the arbitrated agreement is presented to the commission for approval.

RESPONSE AND EXPLANATION OF CHANGE: The reference in section (2) to section 36.050(3) will be corrected to refer to section 4 CSR 240-36.050(4). Additionally, since section 4 CSR 240-36.050(4) references the standards rather than providing them, the word "provided" in the last clause of the first sentence of section (2) will be revised to "referenced."

COMMENT: Sprint, Southwestern Bell Telephone, LLP raise a concern regarding the approval of an arbitrated agreement in the absence of commission action within thirty (30) days of the filing of the arbitrated agreement.

RESPONSE AND EXPLANATION OF CHANGE: Section 252(e)(4) of the Telecommunications Act of 1996 provides that an arbitrated agreement is deemed approved if the commission does not

act upon the submitted agreement within thirty (30) days of the submission. Section (3) will be revised to reflect that, in the absence of commission action within thirty (30) days of submission, the agreement is deemed approved.

COMMENT: Sprint argues that meeting quality of service standards should be outside the scope of an interconnection agreement and proposes deletion of the last three (3) clauses of the last sentence of section (4).

RESPONSE: Section 252(e)(3) of the Telecommunications Act of 1996 specifically reserves to state commissions the right to establish and enforce other requirements of state law that do not conflict with those of the federal act and rules including "compliance with intrastate telecommunications service quality standards or requirements." No changes have been made to the rule as a result of this comment.

COMMENT: MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. questions both the title and content of section (6) asserting the section should be rewritten to conform to section 252(e)(6) of the Telecommunications Act of 1996.

RESPONSE AND EXPLANATION OF CHANGE: The section will be revised to conform to the requirement of section 252(e)(6) of the Telecommunications Act of 1996 that review of state commission action will be by a federal district court.

4 CSR 240-36.050 Commission Approval of Agreements Reached by Arbitration

(1) Filing of Conformed Agreement—Unless the commission orders otherwise, within seven (7) days of the filing of a commission order approving, rejecting or modifying the arbitrator's final report, the parties shall file with the commission the entire agreement that was the subject of the negotiation. The agreement shall conform in all respects to the commission's order. Concurrently with the filing of the conformed agreement, the parties shall each file statements that indicate whether the agreement complies with the requirements of sections 251 and 252 of the Act, Missouri statutes, and the commission's rules.

(2) Within ten (10) days of the filing of the agreement, anyone may file comments concerning the agreement; however, such comments shall be limited to the standards for review referenced in section 4 CSR 240-36.050(4) of this chapter. The commission, upon its own motion, may hold additional informal hearings and may hear oral argument from the parties to the arbitration.

(3) Commission Review of Arbitrated Agreement—Within thirty (30) days following the filing of the arbitrated agreement, the commission shall issue a decision approving or rejecting the arbitrated agreement (including those parts arrived at through negotiations) pursuant to subsection 252(e) of the Act and all its subparts. In the event the commission fails to act on the arbitrated agreement within thirty (30) days of when the agreement is filed, the agreement shall be deemed approved.

(6) Review of Commission Decision—Any party aggrieved by a commission decision made under this rule may seek relief in an appropriate federal district court pursuant to section 252(e)(6) of the Act.

**Title 4—DEPARTMENT OF ECONOMIC
DEVELOPMENT**

**Division 240—Public Service Commission
Chapter 36—Alternative Dispute Resolution Procedural
Rules Governing Filings Made Pursuant to the
Telecommunications Act of 1996**

ORDER OF RULEMAKING

By the authority vested in the Public Service Commission under section 386.410, RSMo 2000, the commission withdraws a rule as follows:

4 CSR 240-36.060 Commission Approval of Agreements Reached by Mediation or Negotiation is **withdrawn**.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 2, 2004 (29 MoReg 203). This proposed rule is withdrawn.

SUMMARY OF COMMENTS: A public hearing on this and associated proposed rules was held March 12, 2004, and the public comment period ended March 5, 2004. At the public hearing, Nathan Williams, Senior Counsel in General Counsel's Office of the Public Service Commission of Missouri, Natelle Dietrich, Regulatory Economist III of the Public Service Commission of Missouri provided oral responses to written comments. In addition, orally at the public hearing, Mike Dandino provided comments for the Office of the Public Counsel; Mimi McDonald, Senior Counsel for Southwestern Bell Telephone, LP, provided comments for Southwestern Bell Telephone, LP; Carl Lumley of Curtis, Oetting, Heinz, Garrett & O'Keefe, PC, provided comments for MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc.; Larry Dority of Fisher and Dority, PC, provided comments for CenturyTel of Missouri, LLC and Spectra Communications Group, LLC; and Lisa Chase of Andereck, Evans, Milne, Peace and Johnson, LLP, provided comments for Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company.

The staff of the Public Service Commission of Missouri, Southwestern Bell Telephone, LP, Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company, MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. and Sprint filed written comments.

COMMENT: Those who appeared at the public hearing generally endorsed this proposed rule as part of the group of rules proposed for Chapter 36; however, the commission's staff noted that the subject of this rule is also the subject of another rulemaking this commission is undertaking and that, as worded, this rule would conflict with that pending rulemaking.

RESPONSE: This proposed rule is withdrawn because the subject of the rule is also the subject of another rulemaking this commission is undertaking and this proposed rule conflicts with the language being considered for that rule.

**Title 4—DEPARTMENT OF ECONOMIC
DEVELOPMENT**

**Division 240—Public Service Commission
Chapter 36—Alternative Dispute Resolution Procedural
Rules Governing Filings Made Pursuant to the
Telecommunications Act of 1996**

ORDER OF RULEMAKING

By the authority vested in the Public Service Commission under section 386.410, RSMo 2000, the commission withdraws a rule as follows:

4 CSR 240-36.070 Commission Notice of Adoption of Previously Approved Agreement is **withdrawn**.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 2, 2004 (29 MoReg 203-204). This proposed rule is withdrawn.

SUMMARY OF COMMENTS: A public hearing on this and associated proposed rules was held March 12, 2004, and the public comment period ended March 5, 2004. At the public hearing, Nathan Williams, Senior Counsel in General Counsel's Office of the Public Service Commission of Missouri, Natelle Dietrich, Regulatory Economist III of the Public Service Commission of Missouri provided oral responses to written comments. In addition, orally at the public hearing, Mike Dandino provided comments for the Office of the Public Counsel; Mimi McDonald, Senior Counsel for Southwestern Bell Telephone, LP, provided comments for Southwestern Bell Telephone, LP; Carl Lumley of Curtis, Oetting, Heinz, Garrett & O'Keefe, PC, provided comments for MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc.; Larry Dority of Fisher and Dority, PC, provided comments for CenturyTel of Missouri, LLC and Spectra Communications Group, LLC; and Lisa Chase of Andereck, Evans, Milne, Peace and Johnson, LLP, provided comments for Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company.

The staff of the Public Service Commission of Missouri, Southwestern Bell Telephone, LP, Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company, MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. and Sprint filed written comments.

COMMENT: Those who appeared at the public hearing generally endorsed this proposed rule as part of the group of rules proposed for Chapter 36; however, the commission's staff noted that the subject of this rule is also the subject of another rulemaking this commission is undertaking and that, as worded, this rule would conflict with that pending rulemaking.

RESPONSE: This proposed rule is withdrawn because the subject of the rule is also the subject of another rulemaking this commission is undertaking and this proposed rule conflicts with the language being considered for that rule.

**Title 4—DEPARTMENT OF ECONOMIC
DEVELOPMENT**

**Division 240—Public Service Commission
Chapter 36—Alternative Dispute Resolution Procedural
Rules Governing Filings Made Pursuant to the
Telecommunications Act of 1996**

ORDER OF RULEMAKING

By the authority vested in the Public Service Commission under section 386.410, RSMo 2000, the commission withdraws a rule as follows:

4 CSR 240-36.080 Commission Approval of Amendments to Existing Commission-Approved Agreements **is withdrawn.**

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 2, 2004 (29 MoReg 204). This proposed rule is withdrawn.

SUMMARY OF COMMENTS: A public hearing on this and associated proposed rules was held March 12, 2004, and the public comment period ended March 5, 2004. At the public hearing, Nathan Williams, Senior Counsel in General Counsel's Office of the Public Service Commission of Missouri, Natelle Dietrich, Regulatory Economist III of the Public Service Commission of Missouri provided oral responses to written comments. In addition, orally at the public hearing, Mike Dandino provided comments for the Office of the Public Counsel; Mimi McDonald, Senior Counsel for Southwestern Bell Telephone, LP, provided comments for Southwestern Bell Telephone, LP; Carl Lumley of Curtis, Oetting, Heinz, Garrett & O'Keefe, PC, provided comments for MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc.; Larry Dority of Fisher and Dority, PC, provided comments for CenturyTel of Missouri, LLC and Spectra Communications Group, LLC; and Lisa Chase of Andereck, Evans, Milne, Peace and Johnson, LLP, provided comments for Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company.

The staff of the Public Service Commission of Missouri, Southwestern Bell Telephone, LP, Alma Telephone Company, Chariton Valley Telephone Corporation, Choctaw Telephone Company, Mid-Missouri Telephone Company, MoKan Dial, Inc. and Northeast Missouri Rural Telephone Company, MCI WorldCom Communications, Inc., Brooks Fiber Communications of Missouri, Inc., Intermedia Communications, Inc., MCImetro Access Transmission Services, LLC and AT&T of the Southwest, Inc. and Sprint filed written comments.

COMMENT: Those who appeared at the public hearing generally endorsed this proposed rule as part of the group of rules proposed for Chapter 36; however, the commission's staff noted that the subject of this rule is also the subject of another rulemaking this commission is undertaking and that, as worded, this rule would conflict with that pending rulemaking.

RESPONSE: This proposed rule is withdrawn because the subject of the rule is also the subject of another rulemaking this commission is undertaking and this proposed rule conflicts with the language being considered for that rule.

Title 7—DEPARTMENT OF TRANSPORTATION
Division 10—Missouri Highways and
Transportation Commission
Chapter 1—Organization; General Provisions

ORDER OF RULEMAKING

By the authority vested in the Missouri Highways and Transportation Commission under sections 226.008, RSMo Supp. 2003 and 226.130 and 536.016, RSMo 2000, the commission adopts a rule as follows:

7 CSR 10-1.020 Subpoenas is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on March 1, 2004 (29 MoReg 384-389). No changes have been made to the text of the pro-

posed rule, so it is not reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

Title 13—DEPARTMENT OF SOCIAL SERVICES
Division 35—Children's Division
Chapter 80—Payment of Residential Facilities

ORDER OF RULEMAKING

By the authority vested in the Children's Division under section 207.020, RSMo 2000, the director adopts a rule as follows:

13 CSR 35-80.010 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 17, 2004 (29 MoReg 311-313). The sections with changes are reprinted here. This proposed rule becomes effective thirty (30) days after the publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The order of rulemaking was amended pursuant to a hearing held by Joint Committee on Administrative Rules on June 8, 2004. Section (5) was added to include a termination date. The Children's Division received one hundred forty (140) letters of comment. One hundred fourteen (114) letters were from board members or otherwise represented sixteen (16) providers affected by the regulations. Substantially all letters requested that comments be considered for both regulation 13 CSR 35-80.010 and 13 CSR 35-80.020 although the specific comments may only be applicable to one of the regulations. We have therefore addressed all comments under both regulations.

COMMENT: One letter expressed concern that the foster care maintenance costs which would be "priced" under the methodology would affect the current and future POS contracts.

RESPONSE: The litigation brought by the Missouri Child Care Association specifically challenged the previous methodology on the grounds it did not comply with the requirements regarding Title IV-E foster care maintenance payments to residential care providers. The Child Welfare Act strictly limits the services that may be provided and claimed as foster care maintenance. The proposed regulations were designed to identify all foster care maintenance costs that may be claimed under Title V-E and develop a reasonable reimbursement level. We are similarly concerned that the ability to leverage federal funds or recognize the cost for other services provided in the residential setting has been limited and have reviewed payment methodologies approved in other states. The reporting requirements will provide an opportunity to identify the full scope of costs and revenue streams for residential providers. As that information becomes available and we move beyond the current litigation, the division looks forward to working with residential treatment providers to develop favorable reimbursement contracts and ensure adequate services are provided.

COMMENT: Multiple comments objected to the proposed wording in 13 CSR 35-80.010(2)(E) which outlines the statutory process the Children's Division must follow in order to obtain funding. The comments stated that the proposed methodology was budget based and would be in violation of the Child Welfare Act and ruling by the Western District Court in the matter brought by the Missouri Child Care Association d/b/a Missouri Coalition of Children's Agencies. **RESPONSE:** Although the issues raised could be resolved by removing subsection (2)(E), the Children's Division believes it is important to include a summary of the state budgetary process in the General Principles section in order to inform the public and affected

providers. The division sought assistance from experts in the field of child welfare as a result of the litigation to ensure the methodology meets the requirements of the Child Welfare Act. The proposed methodology is cost based and may result in rates higher than those previously paid. The Department of Social Services (DSS) may only expend funds appropriated by the General Assembly pursuant to the *Missouri Constitution*, therefore, the division needs the opportunity for the appropriations process to work.

COMMENT: One hundred thirty (130) letters of comment included comments objecting to the use of statewide averages as part of the methodology used to determine reimbursement rates. Some comments objected specifically to the use of statewide averages for determination of the room and board component. Additional comments objected to use of statewide averages in any context because they failed to take into consideration the location, size and type of service. Many comments requested that a methodology be changed to facility specific rates. Seventeen (17) letters also stated that payment rates that exceed the reasonable costs for the specific provider would be in violation of federal requirements.

RESPONSE: The litigation initiated by the Missouri Child Care Association makes it necessary to require cost information from all residential child care agencies and to determine the actual cost for, and only for, foster care maintenance in a residential care setting. The division sought assistance from experts in the field of child welfare and developed a methodology using statewide data that is particularly suited in this situation. The division had no desire to encourage additional litigation or administrative costs inherent with individual facility specific rates and current contracts appropriately emphasize client cost differences rather than facility cost differences. Also, facility specific data accurately reflecting foster care maintenance costs and the time necessary for analysis and development of cost controls necessary for facility specific rates prior to adoption of a compliant methodology was not available. The division therefore chose to determine a reasonable cost using facilities appropriately segregated into four (4) classes. The use of a class weighted statewide average allows the maximum flexibility with proper cost controls for unique facility circumstances and encourages efficient providers to provide greater access to clients. Most residential care agencies are small, less than twenty-five (25) clients, and statistically valid time studies necessary to determine costs can be conducted on a statewide basis with minimal intrusion on specific facilities. Numerous competing factors may affect the "accounting" costs reflected by an individual provider. Choices as simple as financing versus investment can create significant cost variances without any difference in the care provided. We have determined that use of a statewide room and board component coupled with the four (4) child-specific daily supervision components will result in a reasonable cost based rate in compliance with the Child Welfare Act.

COMMENT: One hundred twenty-six (126) letters included comments stating that the rule did not provide for an internal appeals process.

RESPONSE: The desk review/audit process will provide an opportunity for individual providers to verify their cost data. The methodology determines the reasonable foster care maintenance cost and an appeals process, which may be applicable to facility specific rates, is unnecessary. An internal appeals process will not be developed to allow providers to challenge applicability of the methodology to their specific facility.

COMMENT: One hundred twenty-nine (129) letters stated that section (4) failed to take into consideration changes in the cost of living or provide for an annual inflation factor.

RESPONSE AND EXPLANATION OF CHANGE: The Children's Division believes 13 CSR 35-80.010 (4)—Inflation/Trend Factor Adjustments adequately addresses how those issues will be considered and provides for the specific indices to be used. In response to

concerns regarding the inadequacy of the COLA that may be provided state employees, the Midwest Region Consumer Price Index for all Urban consumers (CPI-U) has been adopted as the index for adjusting the child-specific daily supervision component. The division has corrected subsection (4)(A) to reflect that the base rate under the proposed regulation is being established for State Fiscal Year 2005 and to clarify that the percentage change will be determined using the most recent calendar year data available. Subsection (4)(B) has been revised to further clarify that the budget request for interim years will be developed using the indices identified in subsection (4)(A).

COMMENT: Seventy-four (74) of the comment letters stated that the cost of implementation for the private or public entities had not been identified nor did the rule state that all costs of implementation would be reimbursed by the state.

RESPONSE: The applicable fiscal notes have been included with the appropriate rule. Costs for the Department of Social Services Children's Division are included in rule 13 CSR 35-80.010. Cost for residential care facilities are included in 13 CSR 35-80.020.

13 CSR 35-80.010 Residential Foster Care Maintenance Methodology

(4) Inflation/Trend Factor Adjustments.

(A) For the purpose of establishing base year costs, the room and board component will be adjusted based on the change in the USDA Expenditures on Children by Families. For State Fiscal Year 2005, the adjustment will be three and thirty hundredths percent (3.30%). The child-specific daily supervision component will be adjusted based on the change in the Midwest Region Consumer Price Index for all Urban consumers (CPI-U). For State Fiscal Year 2005, the adjustment will be two and ninety-two hundredths percent (2.92%). The annual change in the USDA index (two and twenty hundredths (2.20%)) and CPI-U (one and ninety-four hundredths (1.94%)) was determined for the most recent calendar year and multiplied by a factor of 1.5 for the purpose of converting calendar year 2003 cost data to the State Fiscal Year 2005 rate period.

(B) For the purpose of interim inflation/trend factor adjustments until rates are rebased, the department will submit budget items for the General Assembly's consideration to revise rates in accordance with the results of the rate setting methodology. The change in the USDA Expenditures on Children by Families will be used for the room and board component and the Midwest Region Consumer Price Index for all Urban consumers (CPI-U) will be used for the daily supervision component. Rates will be adjusted in accordance with the Truly Agreed and Finally Passed appropriation by the General Assembly subject to veto by the Governor.

(5) This rule shall terminate on October 15, 2004.

Title 13—DEPARTMENT OF SOCIAL SERVICES

Division 35—Children's Division

Chapter 80—Payment of Residential Facilities

ORDER OF RULEMAKING

By the authority vested in the Children's Division under section 207.020, RSMo 2000, the director adopts a rule as follows:

13 CSR 35-80.020 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 17, 2004 (29 MoReg 314-316). The appendix and forms that accompany this rule were published in the *Missouri Register* on February 17, 2004 (29 MoReg 265-295). The section with changes is reprinted here. This

proposed rule becomes effective thirty (30) days after the publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The order of rulemaking was amended pursuant to a hearing held by Joint Committee on Administrative Rules on June 8, 2004. Section (7) was added to include a termination date.

The Children's Division received one hundred forty (140) letters of comment. One hundred fourteen (114) letters were from board members or otherwise represented sixteen (16) providers affected by the regulations. Substantially all letters requested that the comments be considered for both regulation 13 CSR 35-80.010 and 13 CSR 35-80.020 although the specific comments may only be applicable to one of the regulations. We have therefore addressed all comments under both regulations.

COMMENT: One letter expressed concern that the foster care maintenance costs which would be "priced" under the methodology would affect the current and future POS contracts.

RESPONSE: The litigation brought by the Missouri Child Care Association specifically challenged the previous methodology on the grounds it did not comply with the requirements regarding Title IV-E foster care maintenance payments to residential care providers. The Child Welfare Act strictly limits the services that may be provided and claimed as foster care maintenance. The proposed regulations were designed to identify all foster care maintenance costs that may be claimed under Title V-E and develop a reasonable reimbursement level. We are similarly concerned that the ability to leverage federal funds or recognize the cost for other services provided in the residential setting has been limited and have reviewed payment methodologies approved in other states. The reporting requirements will provide an opportunity to identify the full scope of costs and revenue streams for residential providers. As that information becomes available and we move beyond the current litigation, the division looks forward to working with residential treatment providers to develop favorable reimbursement contracts and ensure adequate services are provided.

COMMENT: Multiple comments objected to the proposed wording in 13 CSR 35-80.010(2)(E) which outlines the statutory process the Children's Division must follow in order to obtain funding. The comments stated that the proposed methodology was budget based and would be in violation of the Child Welfare Act and ruling by the Western District Court in the matter brought by the Missouri Child Care Association d/b/a Missouri Coalition of Children's Agencies.

RESPONSE: Although the issues raised could be resolved by removing subsection (2)(E), the Children's Division believes it is important to include a summary of the state budgetary process in the General Principles section in order to inform the public and affected providers. The division sought assistance from experts in the field of child welfare as a result of the litigation to ensure the methodology meets the requirements of the Child Welfare Act. The proposed methodology is cost based and may result in rates higher than those previously paid. The Department of Social Services (DSS) may only expend funds appropriated by the General Assembly pursuant to the *Missouri Constitution*, therefore, the division needs the opportunity for the appropriations process to work.

COMMENT: One hundred thirty (130) letters of comment included comments objecting to the use of statewide averages as part of the methodology used to determine reimbursement rates. Some comments objected specifically to the use of statewide averages for determination of the room and board component. Additional comments objected to use of statewide averages in any context because they failed to take into consideration the location, size and type of service. Many comments requested that a methodology be changed to facility specific rates. Seventeen (17) letters also stated that payment rates

that exceed the reasonable costs for the specific provider would be in violation of federal requirements.

RESPONSE: The litigation initiated by the Missouri Child Care Association makes it necessary to require cost information from all residential child care agencies and to determine the actual cost for, and only for, foster care maintenance in a residential care setting. The division sought assistance from experts in the field of child welfare and developed a methodology using statewide data that is particularly suited in this situation. The division had no desire to encourage additional litigation or administrative costs inherent with individual facility specific rates and current contracts appropriately emphasize client cost differences rather than facility cost differences. Also, facility specific data accurately reflecting foster care maintenance costs and the time necessary for analysis and development of cost controls necessary for facility specific rates prior to adoption of a compliant methodology was not available. The division therefore chose to determine a reasonable cost using facilities appropriately segregated into four (4) classes. The use of a class weighted statewide average allows the maximum flexibility with proper cost controls for unique facility circumstances and encourages efficient providers to provide greater access to clients. Most residential care agencies are small, less than twenty-five (25) clients, and statistically valid time studies necessary to determine costs can be conducted on a statewide basis with minimal intrusion on specific facilities. Numerous competing factors may affect the "accounting" costs reflected by an individual provider. Choices as simple as financing versus investment can create significant cost variances without any difference in the care provided. We have determined that use of a statewide room and board component coupled with the four (4) child-specific daily supervision components will result in a reasonable cost based rate in compliance with the Child Welfare Act.

COMMENT: One hundred twenty-six (126) letters included comments stating that the rule did not provide for an internal appeals process.

RESPONSE: The desk review/audit process will provide an opportunity for individual providers to verify their cost data. The methodology determines the reasonable foster care maintenance cost and an appeals process, which may be applicable to facility specific rates, is unnecessary. An internal appeals process will not be developed to allow providers to challenge applicability of the methodology to their specific facility.

COMMENT: One hundred twenty-nine (129) letters stated that section (4) failed to take into consideration changes in the cost of living or provide for an annual inflation factor.

RESPONSE AND EXPLANATION OF CHANGE: The Children's Division believes 13 CSR 35-80.010(4)—Inflation/Trend Factor Adjustments adequately addresses how those issues will be considered and provides for the specific indices to be used. In response to concerns regarding the inadequacy of the COLA that may be provided state employees, the Midwest Region Consumer Price Index for all Urban consumers (CPI-U) has been adopted as the index for adjusting the child-specific daily supervision component. The division has corrected subsection (4)(A) to reflect that the base rate under the proposed regulation is being established for State Fiscal Year 2005 and to clarify that the percentage change will be determined using the most recent calendar year data available. Subsection (4)(B) has been revised to further clarify that the budget request for interim years will be developed using the indices identified in subsection (4)(A).

COMMENT: Seventy-four (74) of the comment letters stated that the cost of implementation for the private or public entities had not been identified nor did the rule state that all costs of implementation would be reimbursed by the state.

RESPONSE: The applicable fiscal notes have been included with the appropriate rule. Costs for the Department of Social Services

Children's Division are included in rule 13 CSR 35-80.010. Cost for residential care facilities are included in 13 CSR 35-80.020.

13 CSR 35-80.020 Residential Care Agency Cost Reporting System

(7) This rule shall terminate on October 15, 2004.

Title 13—DEPARTMENT OF SOCIAL SERVICES Division 70—Division of Medical Services Chapter 98—Psychiatric/Psychology/Counseling/Clinical Social Work Program

ORDER OF RULEMAKING

By the authority vested in the director of the Division of Medical Services under section 208.201, RSMo 2000, the director adopts a rule as follows:

13 CSR 70-98.020 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on February 17, 2004 (29 MoReg 327). Those sections with changes are reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The Division of Medical Services (DMS) received approximately one hundred fifteen (115) written comments regarding the proposed rule from various individuals.

COMMENT: There were eight (8) comments received expressing concerns about who should serve on the twelve (12) member non-pharmaceutical mental health services committee including: family practice physicians, "practicing" providers (licensed in their respective fields and having experience and practice three (3) years in a mental health clinic), from entities licensed by the Department of Mental Health to serve their target population, and one of each of the three (3) representatives from the four (4) practice areas have one (1) year experience with geriatric clients. Included in the comments was a recommendation to prohibit a disciplined licensee from being on the committee.

RESPONSE AND EXPLANATION OF CHANGE: Section (1) has been changed to recognize the need for a broad spectrum of experience on the committee.

COMMENT: There was one (1) comment that addressed concern that the prior authorization processes should not apply to emergency and inpatient interventions.

RESPONSE: Inpatient hospital stays are exempt from prior authorization. Crisis intervention will not require prior authorization. No changes have been made to the rule as a result of this comment.

COMMENT: There were twenty-six (26) comments regarding amending the proposed rule to exempt residential treatment facilities from the prior authorization process. If these facilities must be included in the prior authorization process, prior authorization should not be required until nine (9) months from the child's date of admission. The contracts between the Children's Division and children residential treatment facilities require a certain weekly amount of individual, family, or group therapy services. Requiring prior authorization of a service that is already designated in the contract seems to be unnecessary, time consuming, and not a good use of already stretched resources.

RESPONSE: Services provided in a residential treatment facility will be subject to prior authorization. The prior authorization process has been developed taking into consideration the needs of children in custody of the state in addition to acceptable standards for

delivery of care. The Children's Division was consulted throughout this process. A review was conducted of alternative care children examining the number of units of counseling paid on behalf of the child once the child was admitted to a facility. Based on this review the current plan for prior authorization of non-pharmaceutical mental health services will meet the needs of children in state custody. No changes have been made to the rule as a result of this comment.

COMMENT: There was one (1) comment received asking when nursing facilities would be required to participate in the program.

RESPONSE: Prior authorization will apply to all Medicaid eligible recipients accessing non-pharmaceutical mental health services, regardless of place of residence. No changes have been made to the rule as a result of this comment.

COMMENT: There were three (3) comments requesting a revision of the definition of emergency interventions to exclude prior authorization if a person is at risk of harm to self or others.

RESPONSE: The definition of crisis intervention is: "The situation must be of significant severity to pose a threat to the patient's well being or is a danger to him/herself or others." Crisis intervention services cannot be scheduled nor can they be prior authorized. No changes have been made to the rule as a result of this comment.

COMMENT: There was one (1) comment regarding the language in section (1) of the rule. The commenter suggested reflecting the appropriate titles for the professionals being addressed, i.e., list social worker as Licensed Clinical Social Worker (LCSW) and counselor as Licensed Professional Counselor (LPC).

RESPONSE AND EXPLANATION OF CHANGE: Section (1) has been revised to add the appropriate titles for the various professionals.

COMMENT: There was one (1) comment suggesting the addition of the word "advisory" to the name of the group to reflect statute (section 208.201.5(7), RSMo 2000).

RESPONSE AND EXPLANATION OF CHANGE: Sections (1) and (2) were revised to reflect the committee name as non-pharmaceutical mental health services prior authorization advisory committee.

COMMENT: There was one (1) comment suggesting that in addition to "public hearings," rules for comment should be sent to respective professions/professional associations for feedback prior to any final decisions regarding prior authorization process and that any change in requirements by the prior authorization committee also be presented publicly and sent out to respective professional groups for comment.

RESPONSE: The Division of Medical Services utilizes the assistance of the Medical Advisory Committee for the review of rules prior to filing with the Secretary of State. Prior authorization policy and procedures shall be communicated to the public by way of Medicaid provider bulletins and manuals. No prior approval will be required in the development of the prior authorization process. No changes have been made to the rule as a result of this comment.

COMMENT: There were eleven (11) comments suggesting that the use of prior authorization as a cost containment tool is counter productive to physicians' efforts to provide the best care for patients. Commenters expressed it is an unnecessary administrative burden on physicians and patients and could actually drive up program costs by forcing patients into hospital emergency rooms and possibly cause them to be needlessly institutionalized. If it is used, a system needs to be put in place to measure its effects.

RESPONSE: Instituting prior authorization allows the state better opportunity to monitor the quality and appropriateness of care, effective treatment modules, and the timeliness of services rendered. No changes have been made to the rule as a result of this comment.

COMMENT: There was one (1) comment that suggested the non-pharmaceutical mental health services prior authorization committee should make recommendations to the Division of Medical Services regarding prior authorization process, not develop the process.

RESPONSE AND EXPLANATION OF CHANGE: Upon further reflection, the state agency has determined that the committee shall review and make recommendations to the Division of Medical Services. Section (2) has been changed to charge the committee to review and make recommendations instead of develop the prior authorization process.

COMMENT: There were twenty-two (22) comments received regarding prior authorization and the number of visits required. Comments included requiring prior authorization after eight (8) visits, not four (4); requiring prior authorization after the first sixteen (16) visits minimally; and requiring prior authorization after eight (8) to twelve (12) visits. Commenters submitted the new rule would slow down and limit the amount of therapy provided. Commenters noted there is a trend of lower functioning, higher therapeutic need recipients who demand more therapeutic interventions; the new rule would limit the ability to meet recipients' needs.

RESPONSE AND EXPLANATION OF CHANGE: Section (5) has been deleted and replaced.

COMMENT: There were fifteen (15) comments stating that the public and private costs stated in the proposed rule change were grossly understated.

RESPONSE: The Division of Medical Services determines the policy on which a claim is paid. This rule is not reflected on the cost of services covered but does require prior authorization of those services. There will be a toll-free line for requesting prior authorization. If the prior authorization policy is not followed as instructed, there will be no payments or costs. No changes have been made to the rule as a result of this comment.

COMMENT: There were nineteen (19) comments from individuals working with people with mental illness and from the individuals themselves. Their concerns were that the prior authorization process would make it more difficult to receive services because of the lag time between the fourth session and when sessions could be resumed. The individuals also felt they were capable of making decisions about their mental illness care independently. One recipient thought it meant he would have to have a guardian or that the prior authorization process was a guardian.

RESPONSE: The provider will have four (4) hours with the recipient without prior authorization while the provider initiates the prior authorization process for the first ten (10) to twenty (20) hours of service. The prior authorization request can be phoned, faxed, or mailed to the division designee. The first prior authorization does not require an assessment, treatment plan, or progress notes. The recipient retains the right to work with the mental health provider of their choice. Guardianship is determined through the courts and has nothing to do with the non-pharmaceutical mental health services prior authorization. No changes have been made to the rule as a result of this comment.

COMMENT: There were two (2) comments questioning the use of the Diagnostic and Statistical Manual of Mental Disorders-Fourth Edition (DSM-IV) criteria when billing instructions and the Health Insurance Portability and Accountability Act require ICD-9 diagnosis be utilized for billing purposes.

RESPONSE: The provider may continue use of the DSM-IV diagnosis codes in their records but must bill using the ICD-9 diagnosis codes and definitions. No changes have been made to the rule as a result of this comment.

COMMENT: There was one (1) comment regarding the need to maintain flexibility in Medicaid eligibility codes.

RESPONSE: Instituting prior authorization for high-risk children

not in custody of the state allows the state better opportunity to monitor the quality and appropriateness of care, effective treatment modules, and the timeliness of services rendered. The prior authorization process has been developed taking into consideration the needs of children in custody of the state in addition to acceptable standards for delivery of care. Services provided in a residential treatment facility will be subject to prior authorization. No changes have been made to the rule as a result of this comment.

COMMENT: There was one (1) comment questioning if the proposal makes a medical referral a necessary condition for treatment.

RESPONSE: A medical referral is not a necessary condition of treatment. Medical necessity is determined by the assessment, treatment plan, and progress notes submitted with the prior authorization request by the recipient's psychiatrist/psychologist/social worker/counselor. No changes have been made to the rule as a result of this comment.

COMMENT: There was one (1) comment questioning if children and/or families who do not see a physician or psychiatrist for psychotropic medications would no longer receive counseling or therapy services as part of their Missouri Medicaid benefits.

RESPONSE: The question does not apply to this regulation. The rule is prior authorization process for non-pharmaceutical mental health services. No changes have been made to the rule as a result of this comment.

COMMENT: There was one (1) comment questioning if the proposal expands non-pharmaceutical mental health services to adults under Missouri Medicaid.

RESPONSE: This rule establishes the process by which non-pharmaceutical mental health services will be prior authorized in order to be reimbursable by the Missouri Medicaid Program. Licensed Clinical Social Workers and Licensed Professional Counselors will continue to serve recipients from birth through age twenty-one (21). No changes have been made to the rule as a result of this comment.

13 CSR 70-98.020 Prior Authorization Process for Non-Pharmaceutical Mental Health

(1) This rule establishes a Medicaid non-pharmaceutical mental health services prior authorization advisory committee in the Department of Social Services, Division of Medical Services. The advisory committee shall be composed of practicing clinicians who are also licensed in their respective fields. The advisory committee shall be composed of three (3) practicing psychiatrists, three (3) practicing psychologists, three (3) practicing licensed clinical social workers (LCSW), and three (3) practicing licensed professional counselors (LPC). All members shall be appointed by the director of the Department of Social Services. The members of the committee shall represent a broad spectrum of practice including, but not limited to, those providing services to adults, children, children in custody, the geriatric population, and Department of Mental Health clients. The members shall serve for a term of four (4) years, except that of the members first appointed, three (3) shall be appointed for one (1) year, three (3) shall be appointed for two (2) years, three (3) shall be appointed for three (3) years, and three (3) shall be appointed for four (4) years. Members of the committee shall receive no compensation for their services but shall be reimbursed for their actual and necessary expenses incurred related to participation on the committee, as approved by the Division of Medical Services out of appropriations made for that purpose.

(2) All persons eligible for medical assistance benefits shall have access to non-pharmaceutical mental health services when they are determined medically necessary when using diagnostic criteria from the Diagnostic and Statistical Manual of Mental Disorders—Fourth Edition (DSM-IV), published by the American Psychiatric Association, or the most currently published version of the DSM

manual. The services covered and not covered, the limitations under which services are covered, and the maximum allowable fees for all covered services shall be determined by the Division of Medical Services and shall be included in the Medicaid Psychology/Counseling Provider Manual and Section 13 of the Physician Provider Manual, which are incorporated by reference in this rule and available through the Department of Social Services, Division of Medical Services website at www.dss.mo.gov/dms. The Medicaid non-pharmaceutical mental health services prior authorization advisory committee shall review and make recommendations regarding the prior authorization process to the Division of Medical Services. The Medicaid non-pharmaceutical mental health services prior authorization advisory committee shall hold a public hearing in order to make recommendations to the department prior to any final decisions by the division on the prior authorization process. The recommendations of the non-pharmaceutical mental health services prior authorization advisory committee shall be provided to the Division of Medical Services, in writing, prior to the division making a final determination. The policy requirements regarding the prior authorization process for non-pharmaceutical mental health services shall be available through the Department of Social Services, Division of Medical Services website at www.dss.mo.gov/dms.

(5) The provider may bill for up to four (4) hours of service for diagnosis and testing without prior authorization. If additional services are needed the provider shall initiate the prior authorization process for up to an additional ten (10) to twenty (20) hours of service dependent on the diagnosis and type of service. The first prior authorization does not require an assessment, treatment plan, or progress notes. After the first aggregate fourteen (14) to twenty-four (24) hours of service an additional prior authorization with appropriate documentation is required. The prior authorization request can be phoned, faxed, or mailed to the division designee.

Title 20—DEPARTMENT OF INSURANCE
Division 400—Life, Annuities and Health
Chapter 1—Life Insurance and Annuity Standards

ORDER OF RULEMAKING

By the authority vested in the director of the Missouri Department of Insurance under section 374.045, RSMo 2000, the director adopts a rule as follows:

20 CSR 400-1.160 Recognition of the 2001 CSO Mortality Table for Use in Determining Minimum Reserve Liabilities and Nonforfeiture Benefits **is adopted**.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on April 1, 2004 (29 MoReg 538-539). No changes have been made in the text of the proposed rule, so it is not reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The Department of Insurance received two (2) written comments on the proposed rule. Both written comments were in support of the proposed rule.

Title 20—DEPARTMENT OF INSURANCE
Division 400—Life, Annuities and Health
Chapter 7—Health Maintenance Organizations

ORDER OF RULEMAKING

By the authority vested in the director of the Missouri Department of Insurance under section 374.045, RSMo 2000, the director amends a rule as follows:

20 CSR 400-7.200 Provider Selection Standards **is amended**.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on April 1, 2004 (29 MoReg 539). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

Contractor Debarment List

Name of Contractor	Name of Officer and Title	Address	Date of Conviction	Debarment Period
Bruner Contracting Company	Cynthia Bruner	218 Delaware, Ste. 211 Kansas City, MO 64105	9/9/03	9/9/03-9/9/04
Cynthia Bruner	N/A	218 Delaware, Ste. 211 Kansas City, MO 64105	9/9/03	9/9/03-9/9/04

The Secretary of State is required by sections 347.141 and 359.481, RSMo 2000 to publish dissolutions of limited liability companies and limited partnerships. The content requirements for the one-time publishing of these notices are prescribed by statute. This listing is published pursuant to these statutes. We request that documents submitted for publication in this section be submitted in camera ready 8 1/2" x 11" manuscript.

NOTICE OF WINDING UP OF LIMITED LIABILITY COMPANY

NOTICE OF WINDING UP TO ALL CREDITORS OF AND CLAIMANTS AGAINST **Clayton Gardens Place Condominiums LLC**, a Missouri Limited Liability Company.

On May 24, 2004, Clayton Gardens Place Condominiums LLC, a Missouri Limited Liability Company, filed its notice of winding up with the Missouri Secretary of State.

Dissolution was effective on May 24, 2004.

Said limited liability company requests that all persons and organizations with claims against it present them immediately by letter to the limited liability company at:

Clayton Gardens Place Condominiums LLC
Mr. Dennis Norman
7925 Forsyth Blvd.
Clayton, MO 63105

All claims must include: the name and address of the claimant; the amount claimed; the basis for the claim; and the dates(s) on which the event(s) on which the claim is based occurred.

NOTICE: Because of the dissolution of Clayton Gardens Place Condominiums LLC, any claims against it will be barred unless proceeding to enforce the claim is commenced within three years after the publication date of the notice authorized by statute.

Notice of Dissolution of Limited Liability Company To All Creditors of and Claimants Against Nu-Tech Industrial Systems, L.L.C.

On March 11, 2004, Nu-Tech Industrial Systems, L.L.C., a Missouri limited liability company, filed a Notice of Winding Up with the Missouri Secretary of State. Nu-Tech Industrial Systems, L.L.C., requests that all persons and organizations who have claims against it present them immediately by letter to Nu-Tech Industrial Systems, L.L.C., c/o Richard Rothman, Blitz, Bardgett & Deutsch, L.C., 120 S. Central, Suite 1650, St. Louis, Missouri 63105.

All claims must include: The name and address of the claimant; the amount claimed; the basis of the claim; the date(s) on which the events occurred which provided the basis for the claim; and copies of any other supporting data. Any claim against Nu-Tech Industrial Systems, L.L.C. will be barred unless a proceeding to enforce the claim is commenced within three years after the publication of this notice

**NOTICE OF DISSOLUTION OF LIMITED LIABILITY COMPANY
TO ALL CREDITORS OF AND CLAIMANTS AGAINST
INDUSTRIAL AIR SUPPLIES, LLC**

On May 12, 2004, Industrial Air Supplies, LLC, filed Notice of Winding Up for Limited Liability Company with the Missouri Secretary of State. The dissolution of Industrial Air Supplies, LLC was effective on that date. You are hereby notified that if you believe you have a claim against Industrial Air Supplies, LLC, you must submit a claim to Keith T. Bowman and Michael C. Linertz, 10718 St. Charles Rock Road, St. Ann, MO 63074.

All claims must include: the name and address of the claimant; the amount claimed; the basis of the claim; the dates on which the event occurred which provided the basis for the claim; and copies of any supporting data. Any claim against Industrial Air Supplies, LLC will be barred unless the proceeding to enforce the claim is commenced within three years after publication of this notice.

Rule Changes Since Update to Code of State Regulations

This cumulative table gives you the latest status of rules. It contains citations of rulemakings adopted or proposed after deadline for the monthly Update Service to the *Code of State Regulations*, citations are to volume and page number in the *Missouri Register*, except for material in this issue. The first number in the table cite refers to the volume number or the publication year—27 (2002), 28 (2003) and 29 (2004). MoReg refers to *Missouri Register* and the numbers refer to a specific *Register* page, R indicates a rescission, W indicates a withdrawal, S indicates a statement of actual cost, T indicates an order terminating a rule, N.A. indicates not applicable, RUC indicates a rule under consideration, and F indicates future effective date.

Rule Number	Agency	Emergency	Proposed	Order	In Addition
1 CSR 10	OFFICE OF ADMINISTRATION State Officials' Salary Compensation Schedule				27 MoReg 189 27 MoReg 1724 28 MoReg 1861
1 CSR 10-4.010	Commissioner of Administration		28 MoReg 1557		
1 CSR 15-3.350	Administrative Hearing Commission		29 MoReg 1048		
1 CSR 15-3.380	Administrative Hearing Commission		29 MoReg 1049		
1 CSR 15-3.420	Administrative Hearing Commission		29 MoReg 1049		
1 CSR 15-3.440	Administrative Hearing Commission		29 MoReg 1049		
1 CSR 15-3.480	Administrative Hearing Commission		29 MoReg 1050		
1 CSR 20-4.010	Personnel Advisory Board and Division of Personnel		29 MoReg 577		
	DEPARTMENT OF AGRICULTURE				
2 CSR 30-1.010	Animal Health		29 MoReg 584		
2 CSR 30-1.020	Animal Health		29 MoReg 584		
2 CSR 30-2.020	Animal Health	29 MoReg 571	29 MoReg 584		
2 CSR 30-2.040	Animal Health	29 MoReg 572	29 MoReg 585		
2 CSR 30-3.020	Animal Health	29 MoReg 573	29 MoReg 586		
2 CSR 30-6.020	Animal Health	29 MoReg 573	29 MoReg 586		
2 CSR 80-5.010	State Milk Board		29 MoReg 709		
	DEPARTMENT OF CONSERVATION				
3 CSR 10-5.205	Conservation Commission		29 MoReg 885		
3 CSR 10-5.352	Conservation Commission		29 MoReg 885		
3 CSR 10-5.353	Conservation Commission		29 MoReg 886R		
3 CSR 10-5.425	Conservation Commission		29 MoReg 886		
3 CSR 10-5.552	Conservation Commission		29 MoReg 888		
3 CSR 10-5.553	Conservation Commission		29 MoReg 888R		
3 CSR 10-5.554	Conservation Commission		29 MoReg 888		
3 CSR 10-7.431	Conservation Commission		N.A.	29 MoReg 906	
3 CSR 10-7.432	Conservation Commission		N.A.	29 MoReg 907	
3 CSR 10-7.433	Conservation Commission		N.A.	29 MoReg 907	
3 CSR 10-7.434	Conservation Commission		N.A.	29 MoReg 908	
3 CSR 10-7.436	Conservation Commission		N.A.	29 MoReg 909	
3 CSR 10-7.437	Conservation Commission		N.A.	29 MoReg 909	
3 CSR 10-7.450	Conservation Commission		This Issue		
3 CSR 10-7.455	Conservation Commission		29 MoReg 890		
3 CSR 10-9.565	Conservation Commission		29 MoReg 590	29 MoReg 1058	
3 CSR 10-10.725	Conservation Commission		29 MoReg 164	29 MoReg 741	
			N.A.	This Issue	
3 CSR 10-11-186	Conservation Commission		This Issue		
3 CSR 10-12.130	Conservation Commission		This Issue		
3 CSR 10-12.140	Conservation Commission		This Issue		
3 CSR 10-12.155	Conservation Commission		This Issue		
3 CSR 10-20.805	Conservation Commission		29 MoReg 590	29 MoReg 1058	
			This Issue		
	DEPARTMENT OF ECONOMIC DEVELOPMENT				
4 CSR 10-1.010	Missouri State Board of Accountancy		28 MoReg 2089		
			29 MoReg 591	29 MoReg 993	
4 CSR 10-1.030	Missouri State Board of Accountancy		28 MoReg 2090		
			29 MoReg 59	29 MoReg 993	
4 CSR 10-1.040	Missouri State Board of Accountancy		28 MoReg 2091R		
			29 MoReg 592R	29 MoReg 993R	
4 CSR 10-2.005	Missouri State Board of Accountancy		28 MoReg 2091R		
			28 MoReg 2091		
			29 MoReg 593R	29 MoReg 993R	
			29 MoReg 593	29 MoReg 994	
4 CSR 10-2.010	Missouri State Board of Accountancy		28 MoReg 2092R		
			29 MoReg 594R	29 MoReg 994R	
4 CSR 10-2.021	Missouri State Board of Accountancy		28 MoReg 2093R		
			29 MoReg 594R	29 MoReg 994R	
4 CSR 10-2.030	Missouri State Board of Accountancy		28 MoReg 2093R		
			29 MoReg 595R	29 MoReg 994R	
4 CSR 10-2.041	Missouri State Board of Accountancy		28 MoReg 2093		
			29 MoReg 595	29 MoReg 994	

Rule Number	Agency	Emergency	Proposed	Order	In Addition
4 CSR 10-2.042	Missouri State Board of Accountancy		28 MoReg 2094R 29 MoReg 596R	29 MoReg 994R	
4 CSR 10-2.051	Missouri State Board of Accountancy		28 MoReg 2094 29 MoReg 596	29 MoReg 995	
4 CSR 10-2.061	Missouri State Board of Accountancy		28 MoReg 2099 29 MoReg 600	29 MoReg 995	
4 CSR 10-2.062	Missouri State Board of Accountancy		28 MoReg 2100R 29 MoReg 601R	29 MoReg 995R	
4 CSR 10-2.070	Missouri State Board of Accountancy		28 MoReg 2101 29 MoReg 602	29 MoReg 995	
4 CSR 10-2.072	Missouri State Board of Accountancy		28 MoReg 2102 29 MoReg 603	29 MoReg 995	
4 CSR 10-2.075	Missouri State Board of Accountancy		28 MoReg 2105 29 MoReg 606	29 MoReg 995	
4 CSR 10-2.095	Missouri State Board of Accountancy		28 MoReg 2108 29 MoReg 609	29 MoReg 996	
4 CSR 10-2.101	Missouri State Board of Accountancy		28 MoReg 2109 29 MoReg 611R	29 MoReg 996R	
4 CSR 10-2.111	Missouri State Board of Accountancy		28 MoReg 2110R 29 MoReg 611R	29 MoReg 996R	
4 CSR 10-2.112	Missouri State Board of Accountancy		28 MoReg 2110R 29 MoReg 611R	29 MoReg 996R	
4 CSR 10-2.115	Missouri State Board of Accountancy		28 MoReg 2110R 29 MoReg 611R	29 MoReg 996R	
4 CSR 10-2.120	Missouri State Board of Accountancy		28 MoReg 2111R 29 MoReg 612R	29 MoReg 996R	
4 CSR 10-2.130	Missouri State Board of Accountancy		28 MoReg 2111 29 MoReg 612	29 MoReg 997	
4 CSR 10-2.135	Missouri State Board of Accountancy		28 MoReg 2112 29 MoReg 613	29 MoReg 997	
4 CSR 10-2.140	Missouri State Board of Accountancy		28 MoReg 2112 29 MoReg 613	29 MoReg 997	
4 CSR 10-2.150	Missouri State Board of Accountancy		28 MoReg 2115 29 MoReg 616	29 MoReg 997	
4 CSR 10-2.160	Missouri State Board of Accountancy		28 MoReg 2115 29 MoReg 616	29 MoReg 997	
4 CSR 10-2.180	Missouri State Board of Accountancy		28 MoReg 2116R 29 MoReg 617R	29 MoReg 997R	
4 CSR 10-2.190	Missouri State Board of Accountancy		28 MoReg 2116R 29 MoReg 617R	29 MoReg 998R	
4 CSR 10-2.200	Missouri State Board of Accountancy		28 MoReg 2116 29 MoReg 617	29 MoReg 998	
4 CSR 10-2.210	Missouri State Board of Accountancy		28 MoReg 2117R 29 MoReg 618R	29 MoReg 998R	
4 CSR 10-2.215	Missouri State Board of Accountancy		28 MoReg 2117R 29 MoReg 618R	29 MoReg 998R	
4 CSR 10-3.010	Missouri State Board of Accountancy		28 MoReg 2117 29 MoReg 618	29 MoReg 998	
4 CSR 10-3.020	Missouri State Board of Accountancy		28 MoReg 2118R 29 MoReg 619R	29 MoReg 998R	
4 CSR 10-3.030	Missouri State Board of Accountancy		28 MoReg 2118R 29 MoReg 619R	29 MoReg 999R	
4 CSR 10-3.040	Missouri State Board of Accountancy		28 MoReg 2119R 29 MoReg 620R	29 MoReg 999R	
4 CSR 10-3.060	Missouri State Board of Accountancy		28 MoReg 2119 29 MoReg 620	29 MoReg 999	
4 CSR 10-4.010	Missouri State Board of Accountancy		28 MoReg 2120R 28 MoReg 2120 29 MoReg 621R 29 MoReg 621	29 MoReg 999R 29 MoReg 999	
4 CSR 10-4.020	Missouri State Board of Accountancy		28 MoReg 2124R 28 MoReg 2124 29 MoReg 625R 29 MoReg 625	29 MoReg 999R 29 MoReg 1000	
4 CSR 10-4.030	Missouri State Board of Accountancy		28 MoReg 2124R 29 MoReg 625R	29 MoReg 1000R	
4 CSR 10-4.031	Missouri State Board of Accountancy		28 MoReg 2124 29 MoReg 625	29 MoReg 1000	
4 CSR 10-4.040	Missouri State Board of Accountancy		28 MoReg 2125R 29 MoReg 626R	29 MoReg 1000R	
4 CSR 10-4.041	Missouri State Board of Accountancy		28 MoReg 2125 29 MoReg 626	29 MoReg 1000	
4 CSR 10-4.050	Missouri State Board of Accountancy		28 MoReg 2125R 29 MoReg 626R	29 MoReg 1000R	

Rule Number	Agency	Emergency	Proposed	Order	In Addition
4 CSR 15-1.030	Acupuncturist Advisory Committee		29 MoReg 627		
4 CSR 15-2.020	Acupuncturist Advisory Committee		29 MoReg 629		
4 CSR 15-3.010	Acupuncturist Advisory Committee		29 MoReg 629		
4 CSR 15-4.020	Acupuncturist Advisory Committee		29 MoReg 630		
4 CSR 30-2.040	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects		29 MoReg 632		
4 CSR 30-11.025	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects		29 MoReg 632		
4 CSR 40-2.021	Office of Athletics		This Issue		
4 CSR 40-5.030	Office of Athletics		This Issue		
4 CSR 70-2.031	State Board of Chiropractic Examiners		29 MoReg 711		
4 CSR 100	Division of Credit Unions				29 MoReg 680 29 MoReg 859 29 MoReg 920 29 MoReg 1061
4 CSR 110-2.130	Missouri Dental Board		29 MoReg 89 29 MoReg 890	29 MoReg 910W	
4 CSR 110-3.010	Missouri Dental Board		29 MoReg 636		
4 CSR 110-3.020	Missouri Dental Board		29 MoReg 636		
4 CSR 110-3.030	Missouri Dental Board		29 MoReg 636		
4 CSR 110-3.040	Missouri Dental Board		29 MoReg 640		
4 CSR 110-3.050	Missouri Dental Board		29 MoReg 640		
4 CSR 120-1.010	State Board of Embalmers and Funeral Directors		29 MoReg 165	29 MoReg 910	
4 CSR 120-1.020	State Board of Embalmers and Funeral Directors		29 MoReg 165	29 MoReg 910	
4 CSR 120-1.040	State Board of Embalmers and Funeral Directors		29 MoReg 166	29 MoReg 910	
4 CSR 120-2.010	State Board of Embalmers and Funeral Directors		29 MoReg 167R 29 MoReg 167	29 MoReg 911R 29 MoReg 911	
4 CSR 120-2.020	State Board of Embalmers and Funeral Directors		29 MoReg 174	29 MoReg 912	
4 CSR 120-2.022	State Board of Embalmers and Funeral Directors		29 MoReg 174	29 MoReg 912	
4 CSR 120-2.030	State Board of Embalmers and Funeral Directors		29 MoReg 175	29 MoReg 912	
4 CSR 120-2.040	State Board of Embalmers and Funeral Directors		29 MoReg 175R 29 MoReg 175	29 MoReg 913R 29 MoReg 913	
4 CSR 120-2.050	State Board of Embalmers and Funeral Directors		29 MoReg 180	29 MoReg 913	
4 CSR 120-2.060	State Board of Embalmers and Funeral Directors		29 MoReg 180R 29 MoReg 180	29 MoReg 913R 29 MoReg 914	
4 CSR 120-2.070	State Board of Embalmers and Funeral Directors		29 MoReg 186R 29 MoReg 186	29 MoReg 914R 29 MoReg 914	
4 CSR 120-2.071	State Board of Embalmers and Funeral Directors		29 MoReg 192	29 MoReg 915	
4 CSR 120-2.080	State Board of Embalmers and Funeral Directors		29 MoReg 193 29 MoReg 890	29 MoReg 915W	
4 CSR 120-2.090	State Board of Embalmers and Funeral Directors		29 MoReg 194	29 MoReg 915	
4 CSR 120-2.100	State Board of Embalmers and Funeral Directors		29 MoReg 195	29 MoReg 916	
4 CSR 120-2.110	State Board of Embalmers and Funeral Directors		29 MoReg 196	29 MoReg 916	
4 CSR 120-2.115	State Board of Embalmers and Funeral Directors		29 MoReg 196	29 MoReg 916	
4 CSR 150-2.125	State Board of Registration for the Healing Arts		29 MoReg 781		
4 CSR 150-2.153	State Board of Registration for the Healing Arts		29 MoReg 781		
4 CSR 150-4.040	State Board of Registration for the Healing Arts		29 MoReg 785		
4 CSR 150-4.053	State Board of Registration for the Healing Arts		29 MoReg 785		
4 CSR 150-4.205	State Board of Registration for the Healing Arts		29 MoReg 785		
4 CSR 165-2.010	Board of Examiners for Hearing Instrument Specialists		29 MoReg 641		
4 CSR 200-4.020	State Board of Nursing		29 MoReg 641		
4 CSR 210-2.080	State Board of Optometry		29 MoReg 642		
4 CSR 210-2.081	State Board of Optometry		29 MoReg 643R		
4 CSR 220-2.100	State Board of Pharmacy		29 MoReg 713		
4 CSR 220-2.300	State Board of Pharmacy		29 MoReg 89	29 MoReg 916	
4 CSR 220-3.040	State Board of Pharmacy		29 MoReg 970		
4 CSR 220-4.010	State Board of Pharmacy		29 MoReg 973		
4 CSR 220-4.020	State Board of Pharmacy		29 MoReg 973R		
4 CSR 235-1.020	State Committee of Psychologists		29 MoReg 643		
4 CSR 235-1.050	State Committee of Psychologists		29 MoReg 644		
4 CSR 240-3.020	Public Service Commission		29 MoReg 717		
4 CSR 240-3.510	Public Service Commission		29 MoReg 717		
4 CSR 240-3.520	Public Service Commission		29 MoReg 718		
4 CSR 240-3.525	Public Service Commission		29 MoReg 721		
4 CSR 240-3.530	Public Service Commission		29 MoReg 724		
4 CSR 240-3.535	Public Service Commission		29 MoReg 727		
4 CSR 240-3.545	Public Service Commission		29 MoReg 369R 29 MoReg 369		
4 CSR 240-3.555	Public Service Commission		29 MoReg 374		
4 CSR 240-3.560	Public Service Commission		29 MoReg 730		
4 CSR 240-3.565	Public Service Commission		29 MoReg 730		
4 CSR 240-13.015	Public Service Commission		29 MoReg 731		

Rule Number	Agency	Emergency	Proposed	Order	In Addition
4 CSR 240-13.055	Public Service Commission		29 MoReg 786		
4 CSR 240-32.060	Public Service Commission		28 MoReg 2147		
4 CSR 240-32.200	Public Service Commission	29 MoReg 459	29 MoReg 646		
4 CSR 240-33.010	Public Service Commission		29 MoReg 374		
4 CSR 240-33.020	Public Service Commission		29 MoReg 374		
4 CSR 240-33.030	Public Service Commission		29 MoReg 376R		
4 CSR 240-33.040	Public Service Commission		29 MoReg 376		
4 CSR 240-33.060	Public Service Commission		29 MoReg 377		
4 CSR 240-33.070	Public Service Commission		29 MoReg 381		
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2 CSR 30-2.020	Movement of Livestock, Poultry and Exotic Animals Within Missouri	29 MoReg 571	August 27, 2004
2 CSR 30-2.040	Animal Health Requirements for Exhibition	29 MoReg 572	August 27, 2004
2 CSR 30-3.020	Brucellosis Quarantine Requirements on Cattle	29 MoReg 573	August 27, 2004
2 CSR 30-6.020	Duties and Facilities of the Market/Sale Veterinarian	29 MoReg 573	August 27, 2004

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4 CSR 240-32.200	General Provisions for the Assignment, Provision and Termination of 211 Service	29 MoReg 459	September 10, 2004
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5 CSR 100-200.045	Temporary Restricted Certification in Education	29 MoReg 963	November 27, 2004
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13 CSR 35-80.010	Residential Foster Care Maintenance Methodology	29 MoReg 261	July 23, 2004
13 CSR 35-80.020	Residential Care Agency Cost Reporting System	29 MoReg 262	July 23, 2004

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13 CSR 40-2.375	Medical Assistance for Families	This Issue	December 27, 2004
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13 CSR 70-10.015	Prospective Reimbursement Plan for Nursing Facility Services	Next Issue	December 15, 2004
13 CSR 70-10.080	Prospective Reimbursement Plan for HIV Nursing Facility Services	Next Issue	December 15, 2004
13 CSR 70-15.110	Federal Reimbursement Allowance (FRA)	This Issue	December 13, 2004

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04-01	Establishes the Public Safety Officer Medal of Valor, and the Medal of Valor Review Board	February 3, 2004	29 MoReg 294
04-02	Designates staff having supervisory authority over agencies	February 3, 2004	29 MoReg 297
04-03	Creates the Missouri Automotive Partnership	January 14, 2004	29 MoReg 151
04-04	Creates the Missouri Methamphetamine Education and Prevention Task Force	January 27, 2004	29 MoReg 154
04-05	Establishes a Missouri Methamphetamine Treatment Task Force	January 27, 2004	29 MoReg 156
04-06	Establishes a Missouri Methamphetamine Enforcement and Environmental Protection Task Force	January 27, 2004	29 MoReg 158
04-07	Establishes the Missouri Commission on Patient Safety and supercedes Executive Order 03-16	February 3, 2004	29 MoReg 299
04-08	Transfers the Governor's Council on Disability and the Missouri Assistive Technology Advisory Council to the Office of Administration	February 3, 2004	29 MoReg 301
04-09	Requires vendors to disclose services performed offshore. Restricts agencies in awarding contracts to vendors of offshore services	March 17, 2004	29 MoReg 533
04-10	Grants authority to Director of Department of Natural Resources to temporarily waive regulations during periods of emergency and recovery	May 28, 2004	29 MoReg 965
04-11	Declares regional state of emergency because of the need to repair electrical outages by various contractors, including a Missouri contractor. Allows temporary exemption from federal regulations	May 28, 2004	29 MoReg 967
04-12	Declares emergency conditions due to severe weather in all Northern and Central Missouri counties	June 4, 2004	29 MoReg 968
04-13	Declares June 11, 2004 to be day of mourning for President Ronald Reagan	June 7, 2004	29 MoReg 969
04-14	Establishes an Emancipation Day Commission. Requests regular observance of Emancipation Proclamation on June 19	June 17, 2004	29 MoReg 1045

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03-01	Reestablishes the Missouri Lewis and Clark Bicentennial Commission	February 3, 2003	28 MoReg 296
03-02	Establishes the Division of Family Support in the Dept. of Social Services	February 5, 2003	28 MoReg 298
03-03	Establishes the Children's Division in the Dept. of Social Services	February 5, 2003	28 MoReg 300
03-04	Transfers all TANF functions to the Division of Workforce Development in the Dept. of Economic Development	February 5, 2003	28 MoReg 302
03-05	Transfers the Division of Highway Safety to the Dept. of Transportation	February 5, 2003	28 MoReg 304
03-06	Transfers the Minority Business Advocacy Commission to the Office of Administration	February 5, 2003	28 MoReg 306
03-07	Creates the Commission on the Future of Higher Education	March 17, 2003	28 MoReg 631
03-08	Lists Governor's staff who have supervisory authority over departments	September 4, 2003	28 MoReg 1556
03-09	Lists Governor's staff who have supervisory authority over departments	March 18, 2003	28 MoReg 633
03-10	Creates the Missouri Energy Policy Council	March 13, 2003	28 MoReg 634
03-11	Creates the Citizens Advisory Committee on Corrections	April 1, 2003	28 MoReg 705
03-12	Declares disaster areas due to May 4 tornadoes	May 5, 2003	28 MoReg 950
03-13	Calls National Guard to assist in areas harmed by the May 4 tornadoes	May 5, 2003	28 MoReg 952
03-14	Temporarily suspends enforcement of environmental rules due to the May 4th [et al.] tornadoes	May 7, 2003	28 MoReg 954
03-15	Establishes the Missouri Small Business Regulatory Fairness Board	August 25, 2003	28 MoReg 1477
03-16	Establishes the Missouri Commission on Patient Safety	October 1, 2003	28 MoReg 1760
03-17	Creates the Governor's Committee to End Chronic Homelessness	October 8, 2003	28 MoReg 1899
03-18	Designates the Missouri State Highway Patrol within the Department of Public Safety as lead agency in state communications	December 10, 2003	29 MoReg 7
03-19	Creates the Public Safety Communications Committee	December 10, 2003	29 MoReg 9
03-20	Requires configuration of two-way radios used by agencies of the state of Missouri to include established interoperability channels as specified by the State Interoperability Executive Committee	December 10, 2003	29 MoReg 12
03-21	Closes state offices Friday, November 28 and Friday, December 26, 2003	October 24, 2003	28 MoReg 1989
03-22	Establishes the Missouri Sexual Offender Registration Task Force	December 10, 2003	29 MoReg 14
03-23	Adds the functions of a State Citizen Council to the Disaster Recovery Partnership	December 10, 2003	29 MoReg 16
03-24	Establishes the Governor's Commission on Hispanic Affairs	November 8, 2003	28 MoReg 2085

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03-25	Requires state agencies to adopt cyber security policies and procedures. Designates the Office of Information Technology as principal forum to improve policies and procedures	December 10, 2003	29 MoReg 18
03-26	Reestablishes the Office of Information Technology as the mechanism for coordinating information technology initiatives for the state	December 10, 2003	29 MoReg 21
03-27	Use of Missouri products and services	December 2, 2003	28 MoReg 2209

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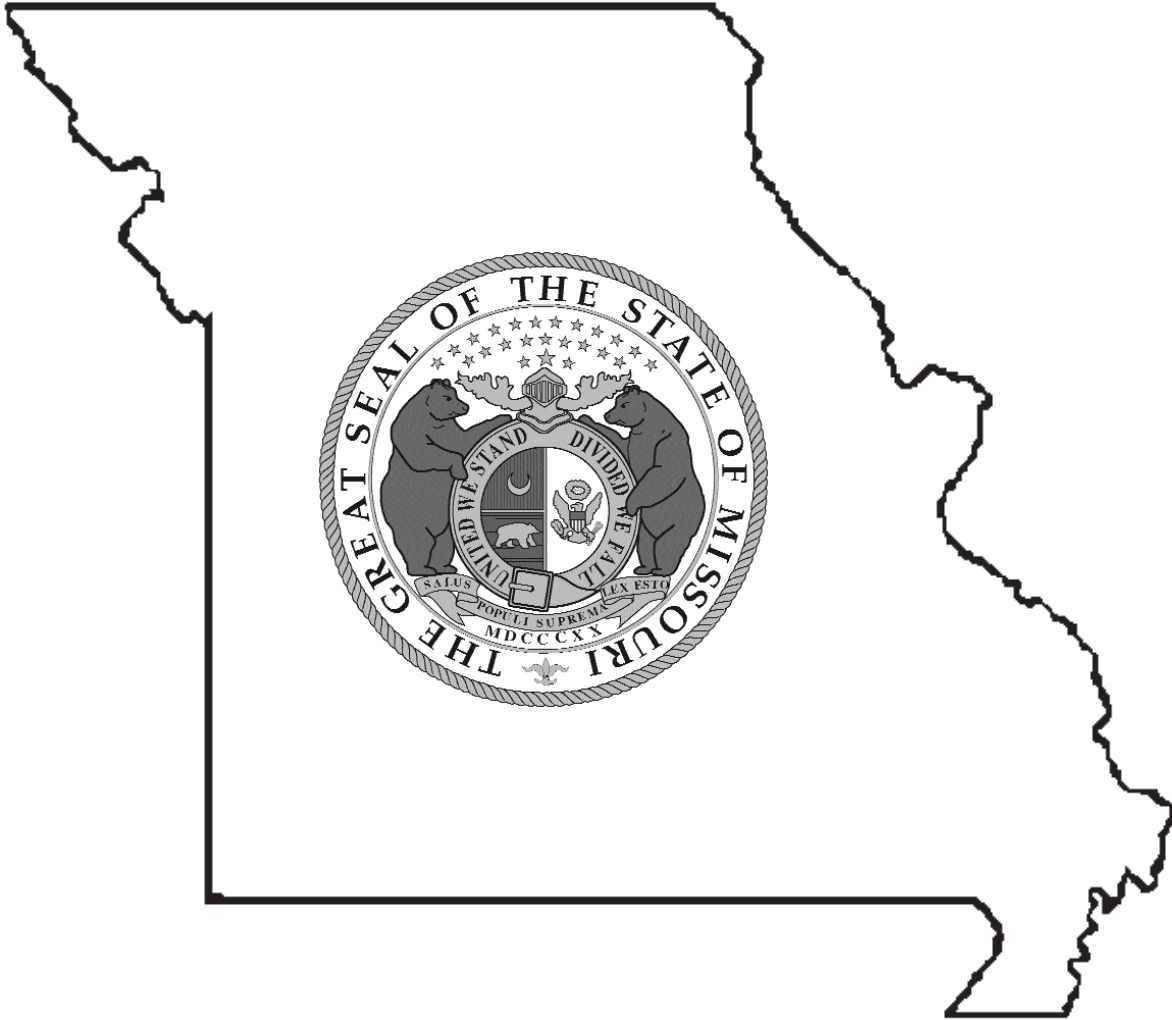
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RULEMAKING 1-2-3

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- Explain changes to the text of the rule within the Summary of Comments or in the Response and Explanation of Change (it is not necessary to quote rule text here)
- Reprint the sections of the rule with changes in the rule text, there is no need to use bold type or brackets in the final order

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